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Assessment of Potential Recovery Viability for Colorado Pikeminnow *Ptychocheilus lucius* in the Colorado River in Grand Canyon

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Abstract:	<p>Colorado Pikeminnow <i>Ptychocheilus lucius</i>, the Colorado River's top native predatory fish, was historically distributed from the Gulf of California delta to the upper reaches of the Green, Colorado, and San Juan rivers in the Colorado River basin in the Southwestern US. In recent decades Colorado Pikeminnow population abundance has declined, primarily due to predation by warmwater nonnative fish and habitat modification following dam construction. Small, reproducing populations remain in the Green and upper Colorado rivers, but their current population trajectory is declining and the San Juan River population is maintained primarily through stocking. As such, establishment of an additional population could aid recovery efforts and increase the species' resilience and population redundancy. The Colorado River in Grand Canyon once supported Colorado Pikeminnow, but until recently habitat suitability in this altered reach was considered low due to a depressed thermal regime and abundant nonnative predators. Climate change and ongoing drought has presented an opportunity to evaluate the feasibility of native fish restoration in a system where declining reservoir storage has led to warmer releases and re-emergence of riverine habitat. These changes in the physical attributes of the river have occurred in concert with a system-wide decline in nonnative predators. Conditions ten years ago were not compatible with reintroduction feasibility in Grand Canyon; however, due to rapidly changing conditions an expert Science Panel was convened to evaluate whether the physical and biological attributes of this reach could now support various life stages of Colorado Pikeminnow. Here, we report on the evaluation process and outcome from the Science Panel, which developed a science-based recommendation to the U.S. Fish and Wildlife Service on reintroduction feasibility. The Science Panel concluded that current habitat attributes in Grand Canyon could satisfy some, but perhaps not all, Colorado Pikeminnow life history requirements. This reach has the potential to support adult and sub-adult growth, foraging, migrations, and spawning, but low juvenile survival may limit recruitment. However, populations of other native species are successfully reproducing and increasing in western Grand Canyon, even in areas once considered suboptimal habitat. Should managers decide to move to the next phase of this process, actions such as experimental stocking and monitoring, telemetry studies, bioenergetics modeling, and laboratory-based research may provide additional information to further evaluate a potential reintroduction effort in this rapidly changing but highly altered system.</p>



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4 **Short Title:** Recovery Viability for Colorado Pikeminnow in Grand Canyon

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Abstract

Colorado Pikeminnow *Ptychocheilus lucius*, the Colorado River's top native predatory fish, was historically distributed from the Gulf of California delta to the upper reaches of the Green, Colorado, and San Juan rivers in the Colorado River basin in the Southwestern US. In recent decades Colorado Pikeminnow population abundance has declined, primarily due to predation by warmwater nonnative fish and habitat modification following dam construction. Small, reproducing populations remain in the Green and upper Colorado rivers, but their current population trajectory is declining and the San Juan River population is maintained primarily through stocking. As such, establishment of an additional population could aid recovery efforts and increase the species' resilience and population redundancy. The Colorado River in Grand Canyon once supported Colorado Pikeminnow, but until recently habitat suitability in this altered reach was considered low due to a depressed thermal regime and abundant nonnative predators. Climate change and ongoing drought has presented an opportunity to evaluate the feasibility of native fish restoration in a system where declining reservoir storage has led to warmer releases and re-emergence of riverine habitat. These changes in the physical attributes of the river have occurred in concert with a system-wide decline in nonnative predators. Conditions ten years ago were not compatible with reintroduction feasibility in Grand Canyon; however, due to rapidly changing conditions an expert Science Panel was convened to evaluate whether the physical and biological attributes of this reach could now support various life stages of Colorado Pikeminnow. Here, we report on the evaluation process and outcome from the Science Panel, which developed a science-based recommendation to the U.S. Fish and Wildlife Service on reintroduction feasibility. The Science Panel concluded that current habitat attributes in Grand Canyon could satisfy some, but perhaps not all, Colorado Pikeminnow life history requirements. This reach has the potential to support adult and sub-adult growth, foraging, migrations, and spawning, but low juvenile survival may limit recruitment. However,

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64 next phase of this process, actions such as experimental stocking and monitoring, telemetry studies,
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67

68 **Keywords:** climate change; Colorado Pikeminnow; drought; extirpated; habitat suitability; recovery
69 viability; redundancy; restoration

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84 the views of the U.S. Fish and Wildlife Service.

85

Introduction

87 Colorado Pikeminnow *Ptychocheilus lucius* (formerly ‘Colorado Squawfish’) evolved over millions
88 of years in rivers of the Colorado River basin (Houston et al. 2010). In their undammed state, these
89 rivers were warm for much of the year, silt-laden, and exhibited high seasonal and interannual
90 fluctuations in turbidity and flow volume (Miller 1961). Historically as the top predator in the
91 system, Colorado Pikeminnow reached up to 1.8 m and 45 kg, although most captured today rarely
92 exceed 10 kg (Miller 1961; Snyder et al. 2016). Prior to dam construction they migrated up to
93 several hundred kilometers to spawn (Mueller and Marsh 2002), with the species’ range extending
94 from the Gulf of California delta (hereafter, ‘delta’) into rivers in Mexico, Arizona, California,
95 Nevada, New Mexico, Colorado, Utah, and Wyoming (Figure 1). The species also exhibited
96 plasticity in its ability to occupy non-traditional habitats, such as ancient Lake Cahuilla (same
97 location as present-day Salton Sea) and Prospect Lake, which was formed when lava flows dammed
98 the Colorado River’s flow in Grand Canyon (Gobalet et al. 2005).

99 In the southern portion of their range in the Colorado and Gila rivers and in the delta (Figure
100 1), Colorado Pikeminnow were noted as common to abundant and the most highly prized of native
101 fishes available for capture (Gilbert and Scofield 1898; Mueller and Marsh 2002). To the north, they
102 were present and relatively common in the upper Colorado, Green, and San Juan rivers and in major
103 tributaries such as the Animas, Gunnison, White, and Yampa rivers (Jordan 1889; Koster 1960;
104 Quartarone and Young 1995). The construction of Laguna Dam near the Mexican border in 1909
105 followed by Hoover Dam in 1935 restricted migratory movement from the highly productive delta
106 into the upper portions of the system (Mueller and Marsh 2002), with populations in the upper basin
107 declining after the 1920s and 1930s following the construction of dams and with implementation of
108 large rotenone projects (Quartarone and Young 1995). Declines in abundance and the species range

109 contraction across the basin led to its inclusion in the 1967 List of Endangered Species (FR 1967),
110 and formal listing as ‘endangered’ under the US Endangered Species Act (ESA 1973, as amended).

111

112 **Current species status**

113 Colorado Pikeminnow historically occupied most of the major river segments in the Colorado River
114 Basin. This basin has been divided into six analysis units as delineated by geographic subbasin, with
115 only three subbasins currently supporting Colorado Pikeminnow populations (shaded blue, Figure
116 1; USFWS 2020b). The analysis units were defined based on the location of dams and were further
117 refined to the subbasin level where demographic processes are likely independent and population
118 size is estimated (USFWS 2020a). Wild, self-sustaining populations remain in the Green and upper
119 Colorado rivers, with a population persisting in the San Juan River through an ongoing stocking
120 program. At the present time, the Green River adult population (age 7+, ≥ 450 mm TL) is the largest
121 and includes fish from the Green, Yampa, and White rivers (Figure 1). From 2001-2018 abundance
122 in the Green River declined from 3,640 to 885 (Table S1). This was likely due to declines in
123 recruitment linked to poor survival of age-0 fish after 2000 combined with nonnative fish predation
124 (Bestgen et al. 2018). The upper Colorado River population (upstream from the Green River
125 confluence) is smaller than the Green River population, numbering in the few hundreds (Table S1;
126 Figure 1). The frequency of strong year classes has declined to the point that recruitment is
127 inadequate to replace adult mortality over the long term.

128 The San Juan River population was considered functionally extirpated in the early 2000s
129 (USFWS 2020b), but extensive stocking efforts of age-0 fish starting in 1996 increased the
130 abundance of juveniles in the river. Low survival has rendered adult population estimates
131 challenging (estimated to be < 140 individuals; Table S1; Ryden 2000; Diver and Wilson 2018).
132 Spawning by stocked adults has been documented in the San Juan River since 2003, with small

133 numbers of mesolarvae captured in backwaters, embayments, and zero- or low-velocity areas
134 (Farrington et al. 2016). Stocking of juvenile fish and the inability to mark small fish has led to
135 uncertainty about recruitment to larger size classes and the provenance of larger fish that are
136 captured (i.e., some could be the result of wild-spawned fish).

137 The Verde and Salt rivers in the Gila River basin have been stocked experimentally, but no
138 young have been documented and recruitment is presumed non-existent (gray shading, Figure 1;
139 USFWS 2020b). Colorado Pikeminnow are presumed extirpated from the Colorado River in Grand
140 Canyon and in the Lower Colorado River mainstem since the last capture was recorded near Havasu
141 Creek in 1978 (ASU Ichthyology Collection, Catalog #: ASUFIC007087). As such, fish recovery
142 efforts are focused on the three remaining populations through the work of the Upper Colorado
143 River Endangered Fish Recovery and the San Juan River Basin Recovery Implementation
144 programs.

145 Colorado Pikeminnow recovery goals focus on achieving self-sustaining populations so the
146 species can be considered for downlisting (e.g., from endangered to threatened) or delisting (e.g.,
147 from threatened to not warranted) under the ESA, while also ensuring water development proceeds
148 in compliance with applicable regulations, laws, and interstate compacts (USFWS 2002, 2020b).
149 Colorado Pikeminnow Recovery Goals (2002) identified downlisting and delisting criteria using 5-7
150 years of adult population abundance estimates for the Green, upper Colorado, and San Juan river
151 populations to determine whether recovery criteria have been met (USFWS 2002); these goals are
152 currently in revision. While the Green and upper Colorado river populations have met or exceeded
153 adult abundance goals in past years, adult populations have declined below target demographic
154 criteria. With low adult abundances and declines in the two remnant wild populations (Green and
155 upper Colorado river), the U.S. Fish and Wildlife Service recommended no change in its status as
156 an ‘endangered species’ in its recent ESA five-year status review (a periodic review to ensure the

157 listing remains accurate). This review identified several important management actions, including a
158 recommendation to “*investigate potential conservation actions that might be implemented in the*
159 *lower basin*” (USFWS 2020a). An additional population could aid recovery efforts and increase the
160 species resiliency and redundancy should unforeseen circumstances or further population declines
161 in the upper basin compromise the species’ continued existence. With rapidly warming reservoir
162 releases and re-emergence of riverine habitat due to climate change, the U.S. Fish and Wildlife
163 Service commenced the evaluation process described herein to assess the potential for whether the
164 Colorado River in Grand Canyon could again support a viable population of Colorado Pikeminnow.
165

166 **Reintroduction feasibility in Grand Canyon**

167 The river segment under consideration here commences at Glen Canyon Dam, flows 25 km through
168 Glen Canyon National Recreational Area to Lees Ferry, and then flows approximately 481 km
169 through Grand Canyon National Park to the inflow of Lake Mead (Figure 2), although this distance
170 can vary depending on the water elevation of Lake Mead. This river segment has been highly
171 impacted by the two largest dams and their associated reservoirs in the US, Glen Canyon and
172 Hoover dams, which form Lake Powell and Lake Mead, respectively. These dams provide water
173 storage and flood control while also generating hydropower. The quality and quantity of water
174 released from Lake Powell influences the physical and biological aspects of the downstream river in
175 Grand Canyon, while the elevations of Lake Mead determine the extent of free-flowing river
176 available for fish in warmer parts of the western Grand Canyon (Figure 2).

177 Colorado Pikeminnow historically inhabited the Colorado River in and around Grand Canyon,
178 as evidenced by archaeological deposits in Stanton’s and Salt Can caves and in Native American
179 midden piles at the Homolovi Ruins and Catclaw Cave (Euler 1978, 1984). Colorado Pikeminnow
180 were also used as a food source in Grand Canyon by early explorers and river runners, including by

181 the Stanton Party in 1889, where it was reported they consumed “Colorado River Salmon” at
182 Christmas dinner (Measeles 1981; Smith and Crampton 1987; Minckley 1991; Mueller and Marsh
183 2002). Reductions in Colorado Pikeminnow and other native fish populations were likely due to
184 river fragmentation from dams constructed in the lower basin (Mueller and Marsh 2002), combined
185 with transformation of the physical and biological attributes of the river including a depressed
186 thermal regime (e.g., Voichick and Wright 2007) and reductions in turbidity and fine sediment load
187 relative to pre-dam conditions (e.g., Topping et al. 2000). Nonnative fish including Channel Catfish
188 *Ictalurus punctatus* and Common Carp *Cyprinus carpio* were present prior to dam construction and
189 may have exerted additional population pressure on native fish through piscivory and competition
190 (Holden and Stalnaker 1975).

191 In the post-dam era, the Colorado River in Grand Canyon was considered suboptimal habitat
192 for native fishes. Habitat suitability for native fishes has increased in the last 15 years, which has
193 primarily been driven by warmer water releases from declining Lake Powell elevations and re-
194 emergence of 100+ km of relatively warm river in western Grand Canyon due to the contraction of
195 Lake Mead from ongoing drought. Native species such as Flannelmouth Sucker *Catostomus*
196 *latipinnis* and Humpback Chub *Gila cypha* have expanded into western Grand Canyon and
197 increased dramatically since 2015 (Van Haverbeke et al. 2017; Rogowski et al. 2018; Kegerries et
198 al. 2020; Van Haverbeke et al. 2020), and Razorback Sucker *Xyrauchen texanus* has also been
199 found in western Grand Canyon and at the inflow of Lake Mead (Albrecht et al. 2010; Kegerries et
200 al. 2017; Kegerries et al. 2020). In addition, Pearce Ferry Rapid emerged as Lake Mead elevation
201 declined, which may be providing a barrier to nonnative fish movement from Lake Mead into
202 western Grand Canyon (Kegerries et al. 2020), further contributing to native fish recovery.

203 Because of rapidly changing riverine conditions and the resurgence of native fish in western
204 Grand Canyon, combined with declines in upper basin populations, there is interest among federal,

205 state, and tribal resource management agencies to assess the feasibility of reestablishing Colorado
206 Pikeminnow in Grand Canyon. A potential reintroduction effort is supported by the Comprehensive
207 Fisheries Management Plan for native and nonnative fishes in Grand Canyon, which was developed
208 by Grand Canyon National Park and Glen Canyon National Recreation Area, in consultation with
209 the Arizona Game and Fish Department (GCNP 2013). One of four main goals in the plan includes
210 restoring self-sustaining populations of extirpated species including Colorado Pikeminnow, if
211 feasibility studies determine it can be reasonably restored without impacting other listed species
212 (GCNP 2013).

213 *Reintroduction feasibility process.* The Colorado Pikeminnow reintroduction feasibility study
214 was facilitated by the U.S. Geological Survey as the science provider and guided by a Steering
215 Committee comprised of natural resource and land managers who have authority over wildlife or
216 water resources in the Colorado River in Grand Canyon or on adjacent lands. The Steering
217 Committee included representatives from the Hualapai Tribe, Navajo Nation, Arizona Game and
218 Fish Department, Nevada Department of Wildlife, U.S. National Park Service, U.S. Bureau of
219 Reclamation, and the U.S. Fish and Wildlife Service (Table 1). The Steering Committee identified a
220 group of university and federal scientists with expertise in Colorado Pikeminnow ecology to serve
221 on a Science Panel that would evaluate habitat suitability in Grand Canyon and provide a formal
222 recommendation on whether experimentation to assess reintroduction feasibility is warranted.
223 Participants and their respective roles in this process are defined in Table 1.

224 Science Panelists and members of the Steering Committee reviewed summaries of Colorado
225 Pikeminnow population status and life history requirements, and information on the physical and
226 biological attributes of Grand Canyon prior to and during a 1-day workshop held in Flagstaff,
227 Arizona on September 11, 2019. Panelists completed a structured Life History Survey (see Text S1)
228 prior to the workshop to reach consensus on life stage requirements related to flow, temperature,

229 nursery habitat, and prey using information from remaining populations in the upper basin.
230 Modifications to this table were made during the workshop based on collective discussion (Table 2).
231 Science Panelists then visually assessed the Colorado River during a 4-day river trip in western
232 Grand Canyon in the Diamond Creek (rkm 389) to Pearce Ferry (rkm 479) reach from September
233 12-15, 2019. Following discussions in the field, panelists provided feedback on environmental and
234 biological factors that may help or hinder the development of a self-sustaining population,
235 developed a list of research questions to inform reestablishing a population in Grand Canyon, and
236 provided U.S. Fish and Wildlife Service with a formal recommendation by consensus on whether
237 experimentation to assess reintroduction feasibility (i.e., the next phase) was warranted.

238 This study provides the official report of the Colorado Pikeminnow Science Panel, but it is
239 important to note this study does not represent an action document. Rather, the purpose of this study
240 is to provide a summary of the science, and where the science is unclear or incomplete, fill in gaps
241 via elicitation of expert opinion to provide managers with information to base future reintroduction
242 decisions. In the following sections we synthesize literature on the five environmental and
243 biological factors most likely to influence species viability (USFWS 2020b), discuss the extent to
244 which the Grand Canyon could support the life history requirements of Colorado Pikeminnow at
245 various life stages (egg, embryo, larvae, juvenile, sub-adult, adult), and provide the Science Panel
246 assessment of whether they think the Colorado River in Grand Canyon could support a population
247 of Colorado Pikeminnow given rapidly changing conditions in this part of the watershed. We focus
248 our discussion on aspects that are *essential* for Colorado Pikeminnow to complete each life stage vs.
249 those that are *preferred* or *non-essential* per the information in the Life History Survey (Table 2), so
250 as to focus on attributes that could lead to potential life history bottlenecks in Grand Canyon.

251

252

Factors that Influence Species Viability

253 The Species Status Assessment for Colorado Pikeminnow (USFWS 2020b) included five
254 environmental and biological factors most likely to influence species viability: 1) peak flows, which
255 maintain channel complexity, form backwater nursery habitats, and clean cobble bars to provide
256 suitable spawning and rearing habitat and promote invertebrate production; 2) base flows, which
257 facilitate hatching success, transport drifting larvae, maintain zero to low-velocity backwater
258 nursery habitat, and provide connectivity between spawning and foraging areas for sub-adults and
259 adults; 3) warm water temperature, which provides a thermal regime to trigger spawning and
260 support egg hatching, larval development, and growth; 4) complex, redundant, low-velocity areas
261 that support spawning, rearing, and foraging; and 5) an abundant forage base that exhibits low
262 predation and competition from nonnative species (USFWS 2020b). The suitability of these habitat
263 characteristics are associated with stable or increasing fish populations that may be more resistant to
264 environmental disturbance. Resilient populations exhibit consistent reproduction, high survival
265 rates, and recruitment rates that offset adult mortality leading to population growth. At the present
266 time there are no subbasins containing the perfect combination of environmental and biological
267 factors, which has contributed to the species' decline.

268

269 **Peak flows**

270 Colorado Pikeminnow evolved in a highly variable environment and exhibit life history
271 characteristics that are intrinsically tied to the hydrologic cycle of winter precipitation and spring to
272 early summer snowmelt originating from the Rocky Mountains in western North America. This
273 species uses environmental cues, including declining spring flows and increasing water temperature,
274 to trigger spawning migrations to specific areas and commence reproduction in late spring to early
275 summer (Vanicek and Kramer 1969; Nesler et al. 1988; Tyus 1990; Bestgen and Hill 2016a). Long-
276 distance spawning migrations routinely occur in the Green River, whereas in the upper Colorado

277 and San Juan rivers spawning movements are generally more localized (McAda and Kaeding 1991;
278 Ryden and Ahlm 1996). Peak flows provide suitable spawning substrate by scouring cobble and
279 gravel of fine sediment, which facilitates egg attachment and development (Table 2). Deep
280 interstitial spaces ensure proper aeration and oxygenation of embryos that increase the likelihood of
281 successful incubation and hatching (Tyus and McAda 1984; McAda and Kaeding 1991; Bestgen
282 and Hill 2016a). The removal of fine sediment also promotes invertebrate production, thereby
283 providing better foraging conditions for larval and juvenile fish (Osmundson et al. 2002).

284 Peak flows are also important in developing and maintaining low-velocity and backwater
285 environments that larval fish drift into and use after hatching and swim up (Table 2; Bestgen and
286 Hill 2016a). These low-velocity, warmwater refuges provide food to support juvenile growth and
287 development (Bestgen and Hill 2016a). Peak flows maintain channel complexity by preventing
288 vegetation encroachment, channel narrowing, and accretion of channel substrate deposits along the
289 riverbank (USFWS 2020b). These flows also reconnect main channels to the floodplain, which
290 benefit adult fish before spawning because floodplains are warm and contain abundant prey that
291 enhance the gonadal maturation process (Muth et al. 2000).

292 *Peak flows in Grand Canyon.* Prior to the construction of Glen Canyon Dam, peak flows
293 occurred in early June (~Day 150), ranged from ~700-6,200 m³s⁻¹, and were several months in
294 duration (Schmidt et al. 2001). Flows in Grand Canyon are now primarily driven by Glen Canyon
295 Dam operations as prescribed in the Glen Canyon Dam Long-Term Experimental and Management
296 Plan Environmental Impact Statement (LTEMP EIS; USDO I 2016a), its associated Record of
297 Decision (ROD; USDO I 2016b), and the 2007 Interim Guidelines for water shortages (USDO I
298 2007). Inclusion of peak flows similar to pre-dam conditions were considered but not chosen as the
299 preferred alternative in the LTEMP EIS and ROD; however, sediment-triggered experimental flows

300 such as spring High Flow Experiments (HFEs) and flows within powerplant capacity (up to 708
301 m^3s^{-1}) were included and could substitute as short-term disturbance events.

302 The primary objective of spring HFEs is to mobilize sediment from the bed for deposition on
303 banks to rebuild sandbars or protect the sediment supply from equalization flows (Grams et al.
304 2010; Melis 2011), but they also may form backwaters that can be used by larval and juvenile fish
305 (Dodrill et al. 2015). Sediment-triggered spring HFEs can release up to $1,274 \text{ m}^3\text{s}^{-1}$ of water in
306 March or April with longevity ≤ 96 hours (4 days), whereas proactive spring HFEs can release up to
307 $1,274 \text{ m}^3\text{s}^{-1}$ of water in April, May, or June with longevity up to 24 hours (USDOI 2016b, 2016a).
308 Two sediment-triggered spring HFEs were tested in spring 1996 and 2008 (Figure 3), but proactive
309 spring HFEs have not been implemented. Given current operational constraints associated with low
310 reservoir elevations, future spring HFEs may be limited. In addition to sediment-triggered spring
311 HFEs, flows up to $708 \text{ m}^3\text{s}^{-1}$ within powerplant capacity can be released from Glen Canyon Dam.
312 Such flows were released as part of a Spring Disturbance Flow in March 2021, which released low
313 steady flows for five days ($116 \text{ m}^3\text{s}^{-1}$) then higher flows for approximately 82 hours (~ 3.5 days; 572
314 m^3s^{-1}). Research is currently underway to evaluate the effects of this flow on the aquatic food base,
315 primary production, nutrient cycling, fish populations, and channel geomorphology, among others.

316 *Suitability of peak flows to support Colorado Pikeminnow in Grand Canyon.* Spring HFEs
317 may function as short-duration peak flows since they are designed to move fine-grained sediment
318 off the bed and onto sandbars or to higher elevations (Schmidt et al. 2001) in the months just prior
319 to presumed Colorado Pikeminnow spawning. As such, spring HFEs could improve spawning
320 substrate and stimulate invertebrate production, but it is not clear whether they could reduce
321 substrate embeddedness and create well-oxygenated cobble and gravel for egg development. Spring
322 disturbance flows, such as one that occurred within powerplant capacity in March 2021, have the
323 potential to scour the substrate and remove fine sediment from the bed. However, it is unclear the

324 extent to which such relatively low magnitude flows will affect sediment resources in Grand
325 Canyon. Given the magnitude of this flow relative to pre-dam floods that sometimes exceeded
326 $>6,200 \text{ m}^3\text{s}^{-1}$ (Schmidt et al. 2001), it is likely fine sediment on top of and along the margins of
327 cobble bars will be scoured, but a much larger flow (e.g., a spring HFE; Grams et al. 2010) would
328 be needed to winnow out fine sediment that would provide deep interstices most needed for
329 successful egg protection and incubation.

330 Spring HFEs or disturbance flows may cue spawning migrations by adult Pikeminnow, but
331 these migrations may ultimately be more dependent on warming water temperatures and increasing
332 photoperiod than a flow trigger (Fraser et al. 2019). During the spring 1996 HFE, flows had a
333 minimal effect on the abundance, distribution, and movement of native fishes such as Flannelmouth
334 Sucker, Humpback Chub, Bluehead Sucker *Catostomus discobolus*, and Speckled Dace *Rhinichthys*
335 *osculus* around the Little Colorado River (Valdez et al. 2001). Native Flannelmouth and Bluehead
336 Sucker and Humpback Chub undertake spawning migrations by moving into tributaries such as the
337 Paria and Little Colorado rivers in late February and early March (Valdez et al. 2001), but they may
338 be following temperature and not flow cues. Humpback Chub spawn in Havasu Creek, which lacks
339 a snowmelt runoff, and move into Bright Angel Creek to spawn after spring runoff in May or June
340 (B. Healy, pers. comm). Nonetheless, spring flooding has been found to be an important
341 environmental cue that shapes native fish abundance in Bright Angel Creek, along with temperature
342 (Healy et al. 2020), so a combined effect of spring flooding and more favorable thermal conditions
343 may ultimately stimulate native fish spawning. Since Colorado Pikeminnow spawns in hatchery
344 settings in the absence of a flow trigger (Hamman 1981), it is possible another cue like water
345 temperature could trigger spawning if peak flows were muted or absent relative to a traditional
346 spring peak.

347

348 **Base flows**

349 Base flows are an important environmental factor because they provide a consistent water supply to
350 support egg development and hatching while also transporting drifting larvae into downstream
351 nursery habitats, where fish grow and remain for the first few years (Table 2). In the upper basin,
352 spawning occurs from June to August and larvae emerge from the substrate 4-7 days post-hatch
353 measuring ~7-9 mm TL (Snyder et al. 2016). This occurs on the declining limb of the spring
354 hydrograph where flows move larvae downstream into nursery areas where they develop. In the
355 Green River the majority of larvae captured in drift nets are 6-8 days old and 8-10 mm TL,
356 indicating relatively close proximity to a spawning ground (Bestgen et al. 2006).

357 Stable base flows provide connectivity between foraging and spawning areas for sub-adult and
358 adult fish but also inundate backwaters and low-velocity nursery habitats without reconnecting them
359 with the main channel (Table 2). Moderate summer base flows in the middle Green ($48-85 \text{ m}^3\text{s}^{-1}$)
360 and lower Green ($48-108 \text{ m}^3\text{s}^{-1}$) rivers are associated with high survival and abundance of age-0
361 fish, whereas few larvae and juveniles are produced when base flows are lower or higher (Bestgen
362 and Hill 2016a). This is likely because moderate flow levels optimize the number, extent, and
363 stabilize temperature of backwater areas, providing resources to increase survival (Bestgen and Hill
364 2016a). Overwinter survival is also linked to the magnitude of daily winter flows, with high survival
365 associated with low flows and low survival associated with high flows (Haines et al. 1998). This
366 effect is likely due to high flows inundating backwaters that eliminate their value as nurseries,
367 flushing fish downstream during a time that is already energetically costly while also subjecting
368 them to injury and predation (Haines et al. 1998).

369 *Operational base flows in Grand Canyon.* Base flows in Grand Canyon in the pre-dam period
370 typically ranged from $100-200 \text{ m}^3\text{s}^{-1}$ during late summer, autumn, and winter (Figure 3). Under
371 current operating rules and regulations, dam releases are restricted to a minimum of $227 \text{ m}^3\text{s}^{-1}$

372 during the day and $142 \text{ m}^3\text{s}^{-1}$ at night, with maximum releases of $708 \text{ m}^3\text{s}^{-1}$ within powerplant
373 capacity that may be exceeded during HFEs. The daily range in flows is restricted to $227 \text{ m}^3\text{s}^{-1}$,
374 which is lower than the post-dam period that exhibited high levels of hydropeaking (Figure 3). The
375 daily stage change in Lees Ferry (Glen Canyon) is approximately 0.5 m and produces a high and
376 low-water mark that attenuates downstream, resulting in backwaters that are less persistent in the
377 Grand Canyon (e.g., Grams et al. 2010) than in the Green, upper Colorado, and San Juan rivers. As
378 such, these variable flows do not help to maintain stable nurseries in summer. However, operational
379 base flows in Grand Canyon would provide connectivity between spawning and foraging areas for
380 adults and these flows would provide high levels of substrate oxygenation, should fine sediment be
381 adequately scoured from cobble and gravel.

382 Stable operational base flows to benefit endangered species were not included as a
383 management objective in the LTEMP EIS and ROD (USDOI 2016b, 2016a). However, there are
384 two stable flow experiments designed to benefit Humpback Chub and other native fish species that
385 may also benefit Colorado Pikeminnow, which include: 1) low summer flows; and 2)
386 macroinvertebrate production flows (i.e., 'bug flows'). The objective of low summer flows is to
387 increase Humpback Chub growth and recruitment during years of coolwater releases from Glen
388 Canyon Dam by increasing water temperature to $\geq 14^\circ\text{C}$ at the Little Colorado River confluence.
389 Low summer flows include releases of $227 \text{ m}^3\text{s}^{-1}$ with little daily fluctuation ($28 \text{ m}^3\text{s}^{-1}$), spanning
390 July-September. A low summer steady flow experiment occurred in 2000, but low summer flows
391 have not been implemented under the LTEMP EIS and ROD because temperatures have exceeded
392 14°C at the Little Colorado River confluence since then (2000-2022).

393 Operational base flows in Grand Canyon follow a load-following pattern, with higher flows
394 released twice a day to generate electricity during hours of peak demand (e.g., in morning and at
395 night). Hourly changes in discharge can be substantial and produce kinematic waves that propagate

396 downstream, creating an extensive intertidal zone along shorelines for more than 400 km (Wiele
397 and Smith 1996) that affects invertebrate production (Kennedy et al. 2016). Macroinvertebrate
398 Production Flows (i.e., ‘Bug flows’) were developed as an experiment to test the hypothesis that
399 keeping flows low and steady at the weekly minimum on weekends will benefit aquatic invertebrate
400 production by ‘giving bugs the weekend off’ from flow fluctuations due to hydropower generation.
401 This is because high chironomid counts occur in areas where minimum flows occur at dusk, while
402 low counts occur in areas where maximum flows occur at dusk. Since aquatic insects tend to lay
403 eggs along the water’s edge at dusk, eggs laid near the low water mark are presumably submerged
404 and have a higher likelihood of survival during the day, whereas eggs laid at the high water mark
405 are desiccated when flows drop (Kennedy et al. 2016). Bug flows were implemented from May-
406 August in 2018, 2019, and 2020, with results generally positive and indicative of increased aquatic
407 invertebrate production and higher levels of gross primary production (T. Kennedy, USGS, unpub.
408 data; Deemer et al. 2022). If implemented on a long-term basis these flows could provide stability
409 in backwaters during the weekends and potentially improve the food base.

410 *Suitability of operational base flows to support Colorado Pikeminnow in Grand Canyon.*

411 Stage change differs across the canyon and is primarily driven by channel width and other local
412 geomorphological features. The area of gravel and cobble bars that are exposed when flows drop to
413 below $227 \text{ m}^3\text{s}^{-1}$ is higher in western Grand Canyon than in the middle canyon (Kaplinski et al.
414 2020, and M. Kaplinski, USGS, unpub. data). Releases are restricted to a minimum of $227 \text{ m}^3\text{s}^{-1}$
415 during the day and $142 \text{ m}^3\text{s}^{-1}$ at night, however, minimum daily flows released from Glen Canyon
416 Dam are typically at or near $227 \text{ m}^3\text{s}^{-1}$. As such, the degree to which eggs may be de-watered
417 depends on where in the canyon and during what time of day Colorado Pikeminnow may spawn.

418 Discharge from Grand Canyon Dam is high relative to other dams in the basin, which has the
419 potential to flush newly-hatched drifting larval fish into Lake Mead prior to them finding a low-

420 velocity refuge. However, fishes are opportunistic and diversify habitat use based on availability.
421 For example, while juvenile Humpback Chub, Bluehead Sucker, Flannelmouth Sucker, and
422 Speckled Dace density is highest in backwaters relative to other habitats available near the Little
423 Colorado River, juvenile Humpback Chub and Speckled Dace abundance is highest in talus and
424 debris fan habitats, respectively (Dodrill et al. 2015). Talus and debris fans may provide a velocity
425 refuge that minimizes energetic costs and provides cover from predation (Crook and Robertson
426 1999). In contrast, Bluehead Sucker and Flannelmouth Sucker were most associated with sandy
427 substrate and shallow areas in Grand Canyon (Dodrill et al. 2015), a finding with similarities to the
428 San Juan River where catostomids in secondary channels have been associated with fine substrates
429 (Gido and Propst 1999). In addition, small-bodied fish sampling using seines in a variety of shallow
430 areas from Bright Angel Creek to Pearce Ferry from 2014-2018 indicates dominance by four native
431 species (Kegerries et al. 2020). This indicates native fishes occupy areas other than backwaters in
432 Grand Canyon (Converse et al. 1998; Dodrill et al. 2015). Importantly, nonnative predators such as
433 Walleye *Sander vitreus*, Smallmouth Bass *Micropterus dolomieu*, Striped Bass *Morone saxatilis*,
434 and Northern Pike *Esox lucius* are rarely detected and not established (Kegerries et al. 2020; Gilbert
435 et al. 2022), which is in sharp contrast to other river segments in the basin.

436 Daily Glen Canyon Dam operations provide a reliable source of water that is unlikely to
437 completely dry up due to drought or water allocation decisions. Humpback Chub were recently
438 downlisted from Federally endangered to threatened status (FR 2021), in part due to the Grand
439 Canyon Humpback Chub population. While Humpback Chub abundance at the LCR has declined
440 and triggered LTEMP conservation actions, Humpback Chub has expanded into western Grand
441 Canyon and is naturally recruiting (Van Haverbeke et al. 2017; Rogowski et al. 2018; Kegerries et
442 al. 2020; Van Haverbeke et al. 2020). As such, Humpback Chub in Grand Canyon are doing
443 relatively well in this highly altered ecosystem relative to upper basin populations that reside in

444 areas with more natural hydrographs and warmer temperatures but experience high levels of
445 predation (Dibble et al. 2021). If operational base flows were reduced in the future due to a decline
446 in water availability, sandbars may reappear in the channel, creating low-velocity environments that
447 could serve as refuge or nurseries.

448

449 **Water temperature**

450 Warm water temperature triggers spawning (along with flow and photoperiod cues) and enhances
451 maturation of gametes in adult fish, while also supporting egg hatching, larval development, and
452 growth across all life history stages (Table 2). Adult Colorado Pikeminnow in the Green and lower
453 Yampa rivers migrate to suitable spawning grounds in late spring to early summer and spawn in
454 groups on the descending limb of the hydrograph when water temperatures reach 16°C, and are
455 rising (Vanicek and Kramer 1969; Nesler et al. 1988; Tyus 1990; Bestgen and Williams 1994;
456 Bestgen et al. 1998). In the lower Green River spawning commences at ~19-25°C, but fish do not
457 consistently spawn until mean daily water temperature exceeds 18°C for 13 to 39 days (Tyus and
458 McAda 1984; Tyus 1990; Bestgen et al. 1998). In the upper Colorado River, spawning has
459 commenced in late June to early September when water temperature reaches 18-22°C, water levels
460 decrease, and flows are 15-30% of maximum annual flow (McAda and Kaeding 1991). In the San
461 Juan River, back-calculations of age from mesolarvae captured in the western portion of the river
462 indicated a limited amount of spawning by stocked adult fish in mid-July when temperatures ranged
463 from 20-23°C (Farrington et al. 2016). Across all studies, the optimum temperature for spawning is
464 ~18-22°C even though adults reproduce outside that range.

465 Similar to spawning, water temperatures of 18-26°C are needed to ensure egg survival,
466 development into embryos, and a successful hatch (Hamman 1981; Bestgen and Williams 1994). In
467 laboratory experiments, embryos consistently exhibited 100% mortality when incubated at 5, 10,

468 15, and 30°C temperatures (Marsh 1985). Hatching occurred at 20 and 25°C; however, 20°C
469 facilitated better embryo survival and hatching success, maximized protolarval size, and reduced
470 spinal deformities and other abnormalities (Marsh 1985). In another study, Bestgen and Williams
471 (1994) found that a range of temperatures (18, 22, 26°C) supported successful hatch rates (72, 67,
472 and 62%, respectively) and larval survival rates 7 days post-hatch (68, 64, and 83%, respectively),
473 but higher temperatures of 30°C yielded lower hatch and survival rates (38 and 13%, respectively;
474 Bestgen and Williams 1994). Based on laboratory experiments the optimal temperatures for
475 embryonic development and post-hatch survival ranges from 18-26°C. Once hatched, 14-day old
476 laboratory-raised larval Pikeminnow are particularly vulnerable to cold shock, with a 15°C drop
477 resulting in direct mortality and a 10°C drop resulting in behavioral changes that could result in
478 indirect mortality (Berry 1988). As such, Green River flows are now regulated to minimize the
479 temperature difference with the unregulated Yampa River during larval emergence and drift (<5°C;
480 Muth et al. 2000).

481 Juvenile and adult Colorado Pikeminnow exhibit positive growth in water temperatures
482 ranging from 22-30°C (Bestgen and Hill 2016a), with an optimal temperature for juveniles of 25°C
483 (Black and Bulkley 1985a; Black and Bulkley 1985b). Colorado Pikeminnow grow slower in
484 temperatures <22°C in laboratory settings (Bestgen 1996) and cease to grow at <13°C or lower
485 (Osmundson 1987). Analysis of in-channel thermal suitability using mean daily water temperature
486 and Pikeminnow growth relationships found that the distributional limits of adults occur when
487 thermal regimes fall below a long-term average of 47-50 Annual Thermal Units (ATUs), which may
488 include colder upstream reaches of the Colorado River and its major tributaries (Osmundson 2011).

489 *Water temperature in Grand Canyon.* In the pre-dam era, the Colorado River in Grand
490 Canyon was seasonably variable and characterized by mean monthly water temperatures that varied
491 from 1 to 29°C (Voichick and Wright 2007). Today, drivers of water temperature in Grand Canyon

492 include Lake Powell elevation and inflow rates, discharge and flow volume from the reservoir,
493 ambient air temperature, and solar radiation (Wright et al. 2009; Mihalevich et al. 2020; Dibble et
494 al. 2021). However, the major driver of water temperature in Grand Canyon that affects fish
495 populations on a macro-scale is Lake Powell (Figure 4a). When elevation is high and the reservoir
496 is full, releases are cold and relatively consistent, but when lake elevation falls and the penstocks
497 draw water from closer to the surface, release temperatures are warmer (Figures 4a, b), with many
498 of the warmest years coinciding with warm inflows (e.g., 2011, 2019). Reservoir releases from
499 2017-2021 ranged from 8-17.2°C in May-October, the warmest months of the year. Mainstem water
500 temperatures historically warmed to ~16°C near Diamond Creek (rkm 388) in western Grand
501 Canyon in May and reached 18-20°C in June-October (Figure 5). Backwaters reach up to 30°C in
502 downstream reaches (USGS 2013; Vernieu and Anderson 2013). However, 2022 reached an
503 unprecedented level of warming throughout Grand Canyon due to low levels in Lake Powell, with
504 reservoir releases reaching 21.1°C that peaked at 25.4°C near Spencer Creek (rkm 422).

505 We assessed the thermal suitability of the mainstem Colorado River in Grand Canyon for
506 adult growth using the concept of ATU units (Osmundson 2011), which were calculated using mean
507 daily water temperature and predictions from a recently published model (e.g., Dibble et al. 2021).
508 During the 1980s and 1990s the Grand Canyon was unsuitable for the growth of sub-adult and adult
509 Colorado Pikeminnow (i.e., <50 ATUs; Figure 6). However, during the last two decades, the river
510 downstream from Diamond Creek has been suitable for growth in nearly every year, and this trend
511 has increased over time (Figure 6). In eastern Grand Canyon, habitat from the dam to Bright Angel
512 Creek has been unsuitable since 1988; however, there is an increasing trend in ATUs from 2000-
513 2020 in the eastern reaches of the canyon. Years in which ATUs increase near 50 are 2005 and
514 2014, when releases were warmer (Figure 6; Figure S1). While temperatures are cooler in Grand
515 Canyon than in the upper basin, the prolonged growing season allows for a relatively high degree of

516 cumulative warming (Figure 6). With additional declines in Lake Powell due to drought (Udall and
517 Overpeck 2017), or with allocation decisions that de-emphasize storage in Lake Powell (e.g.,
518 Schmidt et al. 2016), we would expect to see Grand Canyon increase in thermal suitability (Dibble
519 et al. 2021).

520 Warmwater tributaries in western Grand Canyon such as Havasu Creek may provide
521 additional support for Colorado Pikeminnow, but only if adjacent mainstem temperatures do not
522 prevent upstream movement. There are multiple tributaries of the Colorado River in Grand Canyon
523 that support native fish populations, including Havasu Creek, Kanab Creek, Tapeats Creek,
524 Shinumo Creek, Bright Angel Creek, the Little Colorado River, and the Paria River (Figure 2).
525 Although all except Tapeats Creek contain warm water, a few have natural barriers that would
526 prevent upstream movement of more than a few hundred meters (e.g., Shinumo, Havasu creeks).
527 According to our ATU analysis, no major tributaries currently fall next to the mainstem river that is
528 consistently above 50 ATU. However, Havasu and Kanab creeks are located in between 127-Mile
529 Creek (rkm 230) and National Canyon (rkm 293), which reached 44 and 49 ATUs, respectively, in
530 2019 (Figure 6; Figure S1). As such, it is possible fish near their upstream distributional range may
531 use Havasu or Kanab creeks, which exhibit warmer thermal regimes that could support the growth
532 of sub-adults or adults (Figure 7). These creeks, although small in flow volume (Figure 7), could
533 also provide warm conditioning areas similar to that found in Vermillion Creek, a tributary to the
534 Green River that is used prior to adult spawning in the Yampa River (Bestgen et al. 2017). These
535 tributaries would also provide sources of native fish prey items like Bluehead and Flannelmouth
536 Suckers and Speckled Dace, along with nonnative small-bodied fishes such as Fathead Minnow
537 *Pimephales promelas*, an important food source for Colorado Pikeminnow in the upper Colorado
538 River (Vanicek and Kramer 1969; Muth and Snyder 1995).

539 *Suitability of water temperature to support Colorado Pikeminnow in Grand Canyon.* Annual
540 release temperatures from Glen Canyon Dam historically ranged from 8-13°C, with more recent
541 release temperatures spiking to 21.1°C due to low reservoir levels. As such, the thermal regime in
542 western Grand Canyon (below National Canyon) could support Colorado Pikeminnow in all life
543 history stages at the present time. Water temperatures in the mainstem river meet and exceed 16°C
544 downstream from Diamond Creek in May and June, and summer temperatures >18°C could support
545 egg development and the growth of larvae, juveniles, sub-adults, and adults, with further support
546 from warmwater tributaries such as Havasu and Kanab creeks (Figures 5-7). Even though western
547 Grand Canyon is characterized by a relatively low temperature range that only reaches the low 20s,
548 the extended growing season relative to other rivers may lead to good growth conditions for sub-
549 adults and adults through the accumulation of thermal units over time. In the upper Colorado River,
550 the greatest concentration of adults occurs in the Grand Valley near the upstream limits of their
551 range (Osmundson and White 2014), where the warmest summer temperatures rarely exceed 25°C,
552 and more typically are between 20 and 23°C (K. Bestgen, CSU, unpub. data). For juveniles, slower-
553 growing fish with lower lipid reserves going into winter have been associated with reduced survival
554 when feed was withheld (Thompson et al. 1991). However, fish in western Grand Canyon are likely
555 to feed during the warm winter months (also see Tyus and Haines 1991), so it is unclear the extent
556 to which lower temperatures may ultimately influence recruitment.

557 Colorado River water temperatures in Grand Canyon are dependent on Lake Powell
558 elevations, which may change resulting from declining inflows due to long-term drought and from
559 renegotiation of the 2007 Interim Guidelines (USDOI 2007). Should water storage in Lake Powell
560 increase, water temperatures could return to colder conditions present in the early 1980s and late
561 1990s (Figure 4b), conditions that were unsuitable for the growth of Humpback Chub near the Little
562 Colorado River (Robinson and Childs 2001) that would also limit adult Colorado Pikeminnow

563 growth (e.g., Figure 6). Alternately, if storage is de-emphasized in Lake Powell, a warming trend
564 could improve thermal suitability for native fish as well as improve conditions for nonnative fish
565 (Dibble et al. 2021). The system received a preview of such warming in 2022. A rapid decline in
566 Lake Powell elevations from 2021-2022 resulted in unprecedented warming of the Grand Canyon,
567 with release temperatures reaching 21°C and mainstem temperatures near Spencer Creek in western
568 Grand Canyon reaching 25°C. Should such unprecedented warming continue in the future, the
569 thermal regime throughout Grand Canyon would be suitable for Colorado Pikeminnow growth,
570 survival, and reproduction. This warming trend coincided with higher catch rates of YOY Striped
571 Bass, Smallmouth Bass, and Green Sunfish (T. Kennedy, D. Ward, pers. comm), a sign of
572 nonnative fish expansion from Lake Powell and other sources.

573 In the upper basin, Colorado Pikeminnow recruitment has declined in part due to nonnative
574 fish predation. Grand Canyon typically lacks or has reduced populations of warmwater predators
575 most often associated with hindering endangered fish recovery efforts in the upper basin (e.g.,
576 Smallmouth Bass, Walleye, Northern Pike, Red Shiner *Cyprinella lutrensis* (Bestgen et al. 2006;
577 Johnson et al. 2008). Low predator abundance may be due in part to the cool thermal regime in
578 eastern Grand Canyon combined with the barrier to upstream fish movement formed by Pearce
579 Ferry Rapid.

580 Pearce Ferry Rapid developed when Lake Mead elevation dropped below 346 masl and
581 through superimposition the river cut a new channel that flows over a bedrock ledge. Fish biologists
582 hypothesize this rapid is a barrier to movement of nonnative fishes from Lake Mead into warmer
583 riverine habitat in western Grand Canyon (Kegerries et al. 2020) that is largely inhabited by native
584 species (Rogowski et al. 2018; Van Haverbeke et al. 2020). The continued persistence of Pearce
585 Ferry Rapid may be beneficial to prevent nonnative species from moving upstream, but it may also
586 cut off native fish movement. This could result in a similar situation as the San Juan River, where

587 age-0 fish are stocked but many migrate past Piute Farms Waterfall into Lake Powell as adults and
588 can no longer move upstream into the river (Cathcart et al. 2018; Pennock et al. 2020). As such,
589 examination of Pearce Ferry Rapid and its importance as a driver of current resource conditions is
590 warranted.

591

592 **Complex, redundant habitat**

593 Colorado Pikeminnow require complex, redundant, low-velocity areas for foraging, spawning, and
594 rearing (Table 2). Adult fish prefer large pools, deep runs, and eddies to forage, and select spawning
595 sites characterized by riffles with clean cobble that are located upstream from multiple low-velocity
596 channel or backwater habitats (Table 2; Tyus and McAda 1984; Ryden and Ahlm 1996; Osmundson
597 et al. 1998; Osmundson 2006; Durst and Franssen 2014). Tagging studies indicate adult Colorado
598 Pikeminnow have made spawning migrations of up to 800 km along the Green River and its major
599 tributaries to visit two spawning grounds – Yampa Canyon in Dinosaur National Monument and
600 Gray Canyon of the Green River (Tyus 1990; Irving and Modde 2000; Bestgen and Hill 2016a).
601 This species shows some spawning site fidelity as evidenced by individuals returning to specific
602 areas used in the year prior (Tyus 1990) or in river reaches exhibiting similar geomorphological
603 traits (e.g., rubble gravel bars in unique riffle-pool sequences in the Yampa River; Wick et al.
604 1983). However, there is plasticity in this trait as spawning adults migrate shorter distances and
605 have been found in close proximity to larvae <22 mm TL in reaches of the upper Colorado River,
606 indicating spawning occurs in widely scattered locations as long as substrate and riverine conditions
607 can support reproduction (McAda and Kaeding 1991). Colorado Pikeminnow in the San Juan River
608 tend to either have small home ranges that include spawning sites (Ryden and Ahlm 1996), or they
609 migrate comparatively shorter distances relative to those in the Green River (e.g., up to 145 km;
610 Platania et al. 1991; Ryden and Ahlm 1996). This could be due to physical barriers to movement

611 (e.g., dams, diversions, waterfalls) or thermal intolerances as fish move closer to hypolimnetic-
612 release dams such as Navajo Dam. Impediments to long-distance migration have eliminated the
613 ability of adults to navigate to historically occupied habitats to spawn, as suggested by recaptures of
614 ripe adults at the base of Flaming Gorge and Taylor Draw dams (Irving and Modde 2000).

615 Larvae dispersed downstream can move up to 200 km via currents into low-velocity nursery
616 habitats, where they arrive as soon as 8-10 days post-hatch and remain as juveniles for months or
617 even years (Bestgen et al. 1998; Bestgen et al. 2006). Low-velocity areas are usually nearshore
618 channel margin backwaters in the river channel characterized by warmer water and lower flow than
619 the mainstem river (Vernieu and Anderson 2013), which provide refuge areas for foraging and
620 conserving energy (Muth et al. 2000). Backwaters are shallow habitats in a river channel that are
621 situated downstream from obstructions (e.g., sand or gravel bars) that have a direct surface water
622 connection with the river (Haines and Tyus 1990; Tyus and Haines 1991). These habitats are often
623 associated with increasing levels of shoreline complexity that enhance larval survival and growth.
624 Age-0 fish stay in nursery habitats from the time they arrive as larvae in mid-summer to their first
625 autumn, taking advantage of steady summer flows, warm temperatures, and abundant prey (Vanicek
626 1967; Vanicek and Kramer 1969; Bestgen and Hill 2016a). Age-1 fish continue to use shallow,
627 channel-margin backwaters that are warm ($>18^{\circ}\text{C}$) and turbid (Muth et al. 2000), although spring
628 season flows can inundate backwaters, displacing juveniles to other locations. After fish transition
629 to age-2+, they disperse from nursery habitats and move into the main river channel or into
630 tributaries to forage (Muth et al. 2000).

631 *Complex, redundant habitat in Grand Canyon.* There is currently 480 km of unimpeded river
632 available between Glen Canyon Dam and Pearce Ferry Rapid, with another 26 km between the
633 rapid and the inflow to Lake Mead. This segment is largely composed of a series of high gradient
634 riffles and rapids followed by low gradient deep pools and eddies (Leopold 1969; Grams et al.

635 2007). Declining Lake Mead elevation has converted once-inundated sections of western Grand
636 Canyon into free-flowing river that is notably warmer and possibly more productive than eastern
637 Grand Canyon (Kegerries et al. 2020). In total, the length of unimpeded river in Grand Canyon is
638 comparable to the amount of habitat available in the upper Colorado and San Juan rivers. At typical
639 temperatures (to 2020) the river only becomes suitable for sub-adult and adult growth near National
640 Canyon (293 km from dam), so there is ~187 km of river available upstream from Pearce Ferry
641 Rapid and another 26 km to the Lake Mead inflow. Tributaries such as Havasu and Kanab creeks
642 are 15 and 37 km upstream from National Canyon, potentially putting them in range for use by
643 Pikeminnow for growth, conditioning, or spawning, particularly during warmer years associated
644 with declining Lake Powell elevations that remain above minimum power pool (e.g., 2022).

645 Spawning adults seeking loose, oxygenated substrate may use debris fans and cobble bars
646 throughout Grand Canyon, but there is a large increase in the area of gravel bars in the eastern part
647 of the canyon (~105-180 km from Glen Canyon Dam) and another large increase in western Grand
648 Canyon from National Canyon to Diamond Creek (~315-390 km from the dam; Kaplinski et al.
649 2020; M. Kaplinski, NAU, unpublished data). This is river habitat that would be available to
650 spawning adults with inundation above the minimum operational flows for typical operations (227
651 m^3s^{-1}) that is re-worked during the occasional spring or fall HFE. Large stochastic tributary flooding
652 events during monsoon season (Figure 7) deposit new sources of gravel and cobble from side
653 canyons into the mainstem river that also clean and rebuild existing debris fans. There are more than
654 750 unengaged ephemeral tributaries between the dam and the downstream end of Grand Canyon that
655 transport approximately 2,800,000 metric tons of boulders, cobbles, pebbles, sand, and silt onto
656 debris fans in the mainstem Colorado River annually (Webb et al. 2000). This sediment is poorly
657 sorted, with finer grained sediment in the matrix of debris fans. In the pre-dam era large floods
658 would free fine-grained sediment through debris fan reworking, leaving larger-grained substrate

659 behind. In the post-dam era only ~25% of debris fans are reworked during floods, such that sand is a
660 component of the debris fan matrix (Webb et al. 2000) that increases substrate embeddedness. As
661 such, the strength of the monsoon season, delivery of new substrate, and reworking of that substrate
662 with normal operational flows or HFEs will affect the quality and quantity of spawning habitats
663 available.

664 The majority of the river is canyon-bound and the channel has undergone some simplification
665 since Glen Canyon Dam was constructed. In the ‘classic’ sense, backwaters are the only nursery
666 areas available in Grand Canyon. The total number of backwaters available varies annually and
667 seasonally based on geomorphology and dam operations, since flow fluctuations reduce the area of
668 and persistence of backwaters (Grams et al. 2010). The total number of backwaters available for use
669 by fish from Lees Ferry to Diamond Creek ranges from <100 sites (0.2 sites/km) to >300 sites (0.6
670 sites/km, rkm 25-389; M. Dodrill, USGS, unpub. data). The stability and size of backwaters is also
671 influenced by daily fluctuations in release, such that they are formed and potentially drained on a
672 24-hour cycle (Vernieu and Anderson 2013). However, similar to the San Juan River, the Grand
673 Canyon hosts an array of other low velocity nursery habitats that include the inside bends of the
674 river, microhabitats behind debris piles, shallow shorelines downstream from debris fans, and
675 flooded tributary mouths.

676 *Suitability of complex, redundant habitat to support Colorado Pikeminnow in Grand Canyon.*

677 Spawning substrate embeddedness and a lack of persistent nursery habitats may pose a challenge
678 for fish recruitment. Cobble bars in Grand Canyon differ from the upper basin because they are
679 smaller in areal extent and the substrate is highly embedded with gravel and fine-grained sediment,
680 which may hinder egg attachment and adequate development. Flow experiments in the LTEMP EIS
681 such as spring and fall HFEs may remove fine sediment from cobble bars, but it is unclear the
682 extent to which these bars are re-worked during an HFE since much of the mobilized sand to build

683 sandbars is lying on the bed. During monsoon season, stochastic tributary flooding events introduce
684 new coarse material into the system that could augment spawning habitat in the mainstem river. The
685 products of tributary floods are usually poorly sorted, so it is unclear how long newly deposited
686 coarse material from monsoonal events will remain un-embedded and useful as sufficiently loose
687 and well-oxygenated spawning substrate. Nonetheless, there are good sources of cobble in Grand
688 Canyon, and there is potentially adequate-sized spawning habitat at tributary junctions like Spencer
689 Creek and Surprise Canyon. This potential spawning habitat provides optimism for success, since it
690 is loose, aerated, and adds complexity to areas that could be used by Colorado Pikeminnow, which
691 do not need large areas of river habitat to successfully spawn.

692 Backwaters in Grand Canyon are highly dynamic, easily eroded in the months after an HFE,
693 can be overtopped at maximum daily flow, and are less stable due to fluctuations in temperature and
694 flow (M. Dodrill, USGS, unpub. data; Grams et al. 2010). As with other native species, Colorado
695 Pikeminnow would need to move out of backwaters at different flow regimes into the main channel,
696 which is colder (USGS 2013; Vernieu and Anderson 2013) and could present difficulties in finding
697 prey resources. However, young fish display diel movements across river channels and backwaters
698 in the upper basin (Tyus and Haines 1991), so these fish do not necessarily need to remain in
699 backwaters to successfully grow. While backwaters are essential areas for larval Colorado
700 Pikeminnow, they could adapt to the regulated nature of the Grand Canyon ecosystem as Humpback
701 Chub, Flannelmouth Sucker, Bluehead Sucker, and Speckled Dace have, using other habitats like
702 debris fans, talus, coves and embayments, flooded tributary mouths, and tributaries to support their
703 mainstem populations (Converse et al. 1998; Dodrill et al. 2015). In addition, river-inflow habitat in
704 Lake Mead may provide the level of complexity needed for growth and survival, if they can avoid
705 predation by nonnative fish. Razorback Sucker use the Lake Mead inflow as well as other inflow
706 areas for this purpose (Kegerries et al. 2017) and may be somewhat protected from sight-feeding

707 predators by turbidity. Colorado Pikeminnow exhibit the same behavior around the San Juan River
708 inflow area to Lake Powell (e.g., Cathcart et al. 2018).

709

710 **Forage base**

711 Colorado Pikeminnow require an abundant forage base, and low predation and competition from
712 nonnative species during all life stages (Table 2). Early larvae feed off their yolk sac, but once
713 larvae emerge from cobble bars and drift to shallow, warmwater nursery habitats they consume
714 diatoms, algae, early instars of chironomids, and other small invertebrates (Vanicek 1967; Vanicek
715 and Kramer 1969; Muth and Snyder 1995; Snyder et al. 2016). Age-0 fish (up to 50 mm TL)
716 consume algae and aquatic invertebrates including cladocerans, copepods, and chironomid larvae
717 (Vanicek 1967; Vanicek and Kramer 1969; Muth and Snyder 1995). Age-1 fish remain in low-
718 velocity nursery habitats in spring but may start moving between backwaters and the main channel
719 to forage or seek preferred thermal regimes (Tyus and Haines 1991). Juvenile fish begin the
720 transition to piscivory at age-1, consuming both aquatic invertebrates and soft-rayed fish (Vanicek
721 and Kramer 1969). By age-2 the majority of their diet is fish (Vanicek and Kramer 1969), but up to
722 25% of their diet may still include invertebrate taxa (Franssen et al. 2019).

723 Colorado Pikeminnow was, for millions of years, the sole large-bodied predator at the top of
724 the food web in the basin (Tyus 1991). Its population persistence depended on abundant soft-rayed
725 fishes including native Flannelmouth Sucker, Bluehead Sucker, Roundtail Chub *Gila robusta*,
726 Speckled Dace, and now-threatened and endangered species Humpback Chub, Razorback Sucker,
727 and Bonytail *Gila elegans*. At the present time Colorado Pikeminnow also consume nonnative
728 fishes including Sand Shiner *Notropis stramineus*, Red Shiner, and Fathead Minnow (Vanicek and
729 Kramer 1969; Osmundson 1999). Colorado Pikeminnow can consume fish up to 40% of their body
730 length (Osmundson et al. 1998; Ryden and Smith 2002; Gilbert et al. 2018), but anatomical changes

731 in head morphology with age may limit the size of suitable prey (Gilbert et al. 2018). Colorado
732 Pikeminnow vertical gape is proportionally smaller relative to other non-native species that have
733 invaded the basin, including Northern Pike, Channel Catfish, Flathead Catfish *Pylodictis olivaris*,
734 Striped Bass, Largemouth Bass *Micropterus salmoides*, Smallmouth Bass, Brown Trout *Salmo*
735 *trutta*, and Rainbow Trout (*Oncorhynchus mykiss*; D. Ward, pers. comm). For example, an adult
736 Colorado Pikeminnow measuring 600 mm has a vertical gape of ~38 mm, whereas nonnative
737 species range from 52-75 mm at the same body length (D. Ward, USGS, unpub. data). Collectively,
738 these studies indicate this top predator has anatomical features that limit predation to smaller-bodied
739 fishes relative to their body length.

740 *Forage base in Grand Canyon.* In Grand Canyon, the aquatic invertebrate food base is
741 unstable and exhibits low diversity, such that fish persisting primarily on aquatic invertebrates to
742 adulthood are food-limited (Kennedy et al. 2013). In backwaters, Behn et al. (2010) found the
743 biomass and abundance of four common invertebrates after the spring 2008 HFE was highest in
744 Marble Canyon and lowest in western Grand Canyon. Since there are unknowns regarding the
745 historical and current state of plankton and invertebrates in backwaters in western Grand Canyon
746 under normal operations and during bug flows (samples have not been processed yet), it is unclear
747 whether food resource conditions for larval and age-0 fish are improving relative to limited data
748 collected more than a decade ago. Furthermore, many of the river reaches that could be used by
749 Colorado Pikeminnow were lake habitat 5-20 years ago. Nonetheless, Humpback Chub and
750 Flannelmouth Sucker have expanded into and increased in abundance at these same locations (Van
751 Haverbeke et al. 2017), and both species primarily consume aquatic invertebrates.

752 The composition and abundance of the fish community downstream from Lees Ferry has
753 shifted dramatically since 2000 (Van Haverbeke et al. 2017; Boyer and Rogowski 2020). In the late
754 1990s and early 2000s nonnative fish were abundant throughout the river but transitioned to a mix

755 of nonnative and native species by ~2009, and the lower river community is now primarily native
756 fish (Boyer and Rogowski 2020; Kegerries et al. 2020; Van Haverbeke et al. 2020). While the cause
757 for this shift in community composition is unknown, hypotheses include warming temperatures in
758 western Grand Canyon combined with the emergence of Pearce Ferry Rapid and a lack of nonnative
759 fish predators. Trends in catch indicate system-wide declines in nonnative Common Carp and
760 Brown Trout (except between Glen Canyon Dam and Lees Ferry) that coincided with increases in
761 native Flannemouth Sucker, Bluehead Sucker, and Speckled Dace (Boyer and Rogowski 2020;
762 Kegerries et al. 2020). Flannemouth Sucker, an important prey source, represents the largest
763 proportion of native fish biomass and are larger in eastern Grand Canyon but smaller and more
764 numerous in western Grand Canyon (Van Haverbeke et al. 2020).

765 Nonnative species in Grand Canyon have declined in abundance and distribution over the past
766 two decades and captures of Walleye, Northern Pike, and Smallmouth Bass throughout the system
767 remain extremely rare. Red Shiner is captured via electrofishing and seining in western Grand
768 Canyon but overall catch rates are low relative to native species (Boyer and Rogowski 2020;
769 Kegerries et al. 2020). From 2014-2018, four native species (Bluehead Sucker, Flannemouth
770 Sucker, Humpback Chub, Speckled Dace) comprised 80.5-98.2% of the larval fish catch while eight
771 nonnative species (Brown Trout, Rainbow Trout, Common Carp, Fathead Minnow, Plains Killifish
772 *Fundulus zebrinus*, Green Sunfish *Lepomis cyanellus*, Western Mosquitofish *Gambusia affinis*, Red
773 Shiner) comprised 1.8-19.5% of the larval catch (Kegerries et al. 2020; Gilbert et al. 2022). Green
774 Sunfish, Plains Killifish, Channel Catfish, and Red Shiner may prey on juvenile stages of Colorado
775 Pikeminnow (e.g., Ward and Vaage 2018; Hedden et al. 2020) but they are consistently <1% of the
776 fish community (Boyer and Rogowski 2020; Kegerries et al. 2020). Annual backwater seining data
777 from 2000-2018 indicate Fathead Minnow are more abundant than Red Shiner in Grand Canyon
778 backwaters (Table S2); however, Fathead Minnows tend not to be piscivorous in the wild and may

779 provide a good food source for juvenile fish (M. McKinstry, pers. comm). In the upper Colorado
780 River, a significant positive relationship has been detected between Colorado Pikeminnow
781 condition factor and Fathead Minnow abundance (D. Osmundson, USFWS, unpub. data).

782 With the abrupt decline in Lake Powell elevations from 2021-2022, the thermal regime of the
783 Colorado River in Grand Canyon shifted quickly toward one conducive to warmwater fish growth.
784 In the past five years, peak annual temperatures in Lees Ferry reached 13.5°C (2017), 12.9°C
785 (2018), 15.4°C (2019), 12.8°C (2020), and 16.7°C (2021). Temperatures in September 2022
786 reached 21.4°C and are anticipated to continue to warm. This warming has increased the suitability
787 of this reach for nonnative predators, such that there is now concern this reach may not remain in
788 low abundance of predatory nonnative fish, creating additional pressure on native fish populations.
789 State and Federal agencies are currently planning management actions to slow or prevent a potential
790 invasion of nonnative predatory fishes into Grand Canyon.

791 *Suitability of forage base to support Colorado Pikeminnow in Grand Canyon.* Western Grand
792 Canyon exhibits low algal and invertebrate productivity and low production of small-bodied fishes
793 to support the mixed diet of juvenile Colorado Pikeminnow, but there are no forage base concerns
794 for sub-adult and adult fish once they switch to full piscivory. The aquatic food web in Grand
795 Canyon exhibits poor diversity relative to other basin rivers (Kennedy et al. 2013; Kennedy et al.
796 2016), even in western Grand Canyon (Behn et al. 2010; Kennedy et al. 2013). However, multiple
797 life history stages of Humpback Chub are abundant in a seemingly food-limited area of the canyon
798 and consume macroinvertebrates including early instars of chironomids that support larval fish. Bug
799 flows, which were tested from 2018-2020, increased gross primary production and improved
800 aquatic insect diversity and abundance for higher trophic levels, including fishes (T. Kennedy,
801 USGS, unpub. data; Deemer et al. 2022).

802 Sub-adult and adult Colorado Pikeminnow could be supported by native fishes such as
803 Flannelmouth Sucker, which are more abundant than Humpback Chub in western Grand Canyon, in
804 addition to small-bodied nonnative fishes such as Fathead Minnow and Red Shiner. We recognize
805 that reintroducing a top predator into a river segment with Humpback Chub is not without risk.
806 However, Humpback Chub overlap with Colorado Pikeminnow in three upper basin reaches
807 (Westwater Canyon and Black Rocks in the middle Colorado River, and Desolation/Gray Canyon
808 on the Green River) that have not exhibited population level impacts—instead, Humpback Chub are
809 affected more by flows and predatory nonnative fish (USFWS 2018). There are bioenergetic
810 differences between native predators such as Colorado Pikeminnow and high-risk nonnative
811 predators such as Smallmouth Bass, Northern Pike, and Channel Catfish (Johnson et al. 2008;
812 Zelasko et al. 2016; Bestgen et al. 2018). On an individual basis, Colorado Pikeminnow consume
813 fewer fish prey and also maintain lower densities when their populations are stable (e.g., McGarvey
814 et al. 2010). As such, Colorado Pikeminnow and nonnative predators should not be viewed as
815 interchangeable relative to their impact on Humpback Chub. In addition, Colorado Pikeminnow and
816 Humpback Chub co-evolved over three million years (Mueller and Marsh 2002) and the latter has
817 developed morphological and behavioral adaptations that may afford the latter with some protection
818 from predation (Gilbert et al. 2018; Ward and Ward 2020). For these reasons, it is unlikely that
819 Colorado Pikeminnow will impact Grand Canyon Humpback Chub at a population level.

820

821 **Science Panel Recommendation and Next Steps**

822 Myriad factors contribute to the successful reproduction, growth, and viability of fish populations.
823 However, there are key habitat attributes and demographic factors that are essential for the
824 successful reintroduction of a species like Colorado Pikeminnow into an ecosystem. During the first
825 phase of this project, Science Panel experts reviewed information from Grand Canyon and

826 conducted a habitat suitability assessment based on expert opinion, combined with an on-the-ground
827 assessment of the Colorado River in Grand Canyon. The Panel also took into consideration the
828 current status of populations in the upper basin, their recovery trajectory, and threats that could
829 decrease future resiliency and the redundancy of Colorado Pikeminnow basin-wide. Based on this
830 collective information, the Panel offers their unanimous recommendation with supporting evidence,
831 below.

832 The Science Panel concluded that habitat attributes currently available in Grand Canyon could
833 satisfy some, but perhaps not all, of the life history requirements of Colorado Pikeminnow. The
834 Panel was in agreement that the Grand Canyon has the potential to provide habitat to support adult
835 and sub-adult growth, foraging, migrations, and spawning, but the potential for low survival of early
836 life history stages may create a recruitment bottleneck that reduces the species' recovery potential in
837 Grand Canyon. As opportunists, adult fish are likely to find suitable spawning substrate that
838 provides loose, oxygenated substrate for egg deposition and embryo and larval development.
839 However, at the present time there is uncertainty on whether the Colorado River in Grand Canyon
840 could provide redundant, stable nursery habitats for dispersed larvae and other young life stages.
841 Backwaters in Grand Canyon erode and fill in quickly and are not persistent or stable when subject
842 to daily flow fluctuations. While warm water temperatures are likely to facilitate larval and juvenile
843 growth, redundant sources of complex, low-velocity areas to support foraging are fewer in number
844 than in the upper basin. Further, there is concern over the productivity of western Grand Canyon
845 and whether food resources could support larval and juvenile fish prior to their transition to full
846 piscivory.

847 Regardless, the Science Panel recognized that native populations of Humpback Chub and
848 Flannelmouth Sucker have expanded in western Grand Canyon, even though habitat quality for
849 native fishes there may be lower relative to some other upper basin reaches that have more diverse

850 nursery habitats combined with more natural flow and temperature regimes. Humpback Chub
851 populations in western Grand Canyon have increased substantially in the last few years, exhibit a
852 high condition factor, and reside in areas that support multiple life stages. Flannelmouth Sucker are
853 more numerically abundant than Humpback Chub in western Grand Canyon. Combined with a lack
854 of problematic warmwater nonnative predators, the Grand Canyon is providing conditions that
855 facilitate native, endemic fish population success, and that may facilitate establishment of Colorado
856 Pikeminnow.

857 Colorado Pikeminnow populations in the Green and upper Colorado rivers have declined
858 precipitously in the presence of warmwater predators, and the San Juan River population persists
859 mainly via augmentation with age-0 and age-1 fish, although there is some recent evidence of
860 recruitment. At this rate, currently self-sustaining populations in the Green and upper Colorado
861 rivers may need augmentation in the next decade to persist. As such, there is interest in finding river
862 reaches that may support a self-sustaining population, or at least a population of fish that persists
863 through stocking and would provide a natural refuge. The Panel believes that Grand Canyon may
864 provide the best option in the species' currently unoccupied range because:

- 865 1. The thermal regime has warmed and is expected to continue to warm.
- 866 2. There are large self-sustaining populations of native species in the river.
- 867 3. Nonnative piscivorous fishes are considerably less abundant than in other rivers.
- 868 4. There is a reliable water supply that is unfragmented and not affected by river withdrawals.
- 869 5. The Colorado River in Grand Canyon represents a historically occupied river reach.
- 870 6. There is a robust multi-level monitoring and research program in place to assist with
871 research, and if warranted, reintroduction/augmentation efforts and recovery evaluation.

- 872 7. If all life history requirements cannot be met, there is potential to provide an additional
873 genetic refuge with only the adult life stage present. From a recovery planning perspective,
874 this could contribute to population redundancy, even if it is not self-sustaining.
- 875 8. There is tribal and river community support for a potential reintroduction. Tribal members
876 from the Navajo and Hualapai tribes are supportive of this work, since there is a cultural
877 significance of reestablishing a native species into the Colorado River ecosystem. The
878 Hualapai Tribe is open to providing logistical support on the river and offered to provide a
879 nearby tribal hatchery to species propagation. There is also river community support, which
880 include boatmen that run commercial and recreational trips in the canyon.
- 881 9. Reintroduction of extirpated species is consistent with the National Park Service mission in
882 Grand Canyon and is supported by state fish and game departments.

883

884 **Science Panel recommendation**

885 The Colorado Pikeminnow Science Panel recommends that wildlife resource managers pursue
886 the next phase of this process, which focuses on experimentation to assess reintroduction feasibility.
887 Experimentation will help resolve critical uncertainties to determine whether the Grand Canyon
888 could support all life history stages of Colorado Pikeminnow in the future. To meet this goal, the
889 Science Panel developed a preliminary list of research questions to consider during the
890 experimentation phase (Text S2). While not exhaustive, this list provides discussion points for
891 future research priorities that may better inform a decision on reintroduction into Grand Canyon,
892 which would entail recovery plan inclusion, implementation of translocations and stocking, and
893 population monitoring. The panel recognizes that many regulatory and administrative steps would
894 need to be completed prior to experimentation, however a review and numeration of those steps is
895 beyond the scope of this document.

896 This recommendation, with its supporting information, is in agreement with the recent release
897 of the Species Status Assessment for Colorado Pikeminnow by the U.S. Fish and Wildlife Service,
898 which evaluated habitat and demographic features in reaches where the species was historically
899 present. The SSA states: *“The Grand Canyon reach of the Colorado River ranked moderate for
900 habitat factors. While peak flows and base flows are not managed in consideration of Colorado
901 Pikeminnow needs, recent warming of water temperatures and large increases in native fish
902 abundance, particularly in the western Grand Canyon, have improved the suitability of this river
903 reach. This segment of river is also relatively long, and has some tributary habitat, but the upstream
904 extent is likely cold for most life stages of Colorado Pikeminnow, and it is not clear to what extent
905 spawning and nursery habitats might be available.”*(USFWS 2020b). As such, the Science Panel
906 recommends by consensus that Grand Canyon resource management agencies move to the
907 experimentation phase, as guided by unresolved research questions outlined in Text S2.

908

909

Supplemental Material

910
911 **Text S1.** Final version of the Colorado Pikeminnow life history survey that was distributed to
912 Science Panel members prior to the workshop and Colorado River trip in September 2019. Results
913 were used to reach consensus on life stage requirements related to flow, temperature, nursery
914 habitat, and prey using information from remaining populations in the upper basin.

915 **Text S2.** Colorado Pikeminnow Science Panel members developed a list of research questions that
916 could be addressed if natural resource managers decide to pursue experimentation within Grand
917 Canyon. Note: This is not an exhaustive list of all of the research questions that can or should be
918 addressed. It merely represents a list of questions the Science Panel thought would provide fodder
919 for future discussion.

920 **Table S1.** Population estimates for adult Colorado Pikeminnow *Ptychocheilus lucius* (≥ 450 mm
921 TL) in the Green, upper Colorado, and San Juan rivers based on mark-recapture data for the years
922 1992-2018. Numbers in parentheses indicate 95% confidence intervals, where available. Green
923 River estimates are from Bestgen et al. (2018) and additional data published in Dibble et al. (2020;
924 2021) and include populations in the Middle and Lower Green, Yampa, and White rivers. Upper
925 Colorado River estimates are from Osmundson and White (2014) and Elverud and Ryden (2018).
926 The San Juan River estimate for 1995 is from Ryden (2000), while 2011-2016 estimates are from
927 Diver and Wilson (2018) and indicate mean adult census estimates (N_c) from genetics.

928 **Table S2.** Fish monitoring data collected by the U.S. Geological Survey, Grand Canyon Monitoring
929 and Research Center for the total number of Red Shiner *Cyprinella lutrensis* and Fathead Minnow
930 *Pimephales promelas* captured during backwater seine hauls in the Colorado River in Grand
931 Canyon, AZ from 2000-2018. Backwaters were sampled canyon-wide from the Lees Ferry to
932 Diamond Creek segment of river.

933 **Figure S1.** Plots showing the total number of Annual Thermal Units (ATUs) in the Colorado River
934 at sites located throughout Grand Canyon using data from 1988-2020. Annual Thermal Units are a
935 metric of cumulative thermal heating of the river, and were calculated using mean daily water
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1548

1549

1550 **Tables**

1551 **Table 1.** Members of the Science Panel (subject matter experts), Steering Committee
 1552 (representatives from resource management agencies), and staff from the U.S. Geological Survey
 1553 that were involved in the Colorado Pikeminnow Reintroduction Feasibility Study.

1554

Science Panel	Affiliation	Role
Kevin Bestgen	Colorado State University	Colorado Pikeminnow expert; provided scientific review of habitat suitability in Grand Canyon and provided recommendation on experimentation phase
Keith Gido	Kansas State University	
Tildon Jones	U.S. Fish and Wildlife Service	
Mark McKinstry	U.S. Bureau of Reclamation	
Doug Osmundson	U.S. Fish and Wildlife Service (<i>Emeritus</i>)	
Dale Ryden	U.S. Fish and Wildlife Service	
Robert (Bob) Schelly	National Park Service	
Steering Committee	Affiliation	Role
Winkie Crook	Hualapai Tribe	Resource management agency representative who guided this process; selected Science Panel members; participated in workshop and river trip; developed list of questions to be addressed by panel; reviewed recommendation from panel
Mark Grover	Arizona Game and Fish Department (<i>replaced by Skyler Hedden, 2021</i>)	
Brian Healy	U.S. National Park Service	
Emily Omana Smith	U.S. Bureau of Reclamation	
Brandon Senger	Nevada Department of Wildlife	
Kim Yazzie	Navajo Nation	
Kirk Young	U.S. Fish and Wildlife Service	
USGS Staff	Role	Role
Kimberly Dibble	Fish Biologist, Facilitator of Project	Facilitator and lead author; synthesized information and recommendation from panel
David Ward	Research Fish Biologist	Grand Canyon native fish expert; participated in workshop and river trip
Charles Yackulic	Research Statistician	Second author and co-lead; participated in workshop and river trip

1555

1556

1557 **Table 2.** Summarized results from a structured Life History Survey completed by Science Panel
 1558 members prior to the workshop. The ‘original’ metric (see Text S1) contains information on specific
 1559 environmental features associated with each life history stage compiled using existing literature.
 1560 The ‘revised’ metric (this table) reflects suggested edits to the original metric by Panel members.
 1561 Each Panel member ranked the ‘importance’ of each metric for completing each life history stage,
 1562 and then ranked their ‘certainty’ on this score (i.e., how certain they were of their answer to the
 1563 ‘importance’ question). Numbers presented reflect the average score across seven panelists. Low
 1564 scores indicate higher importance and certainty by panel members. The importance scale was:
 1565 1=essential, 2=preferred, 3=not essential, 4=unsure. For certainty, the scale was: 1=highly certain,
 1566 2=certain, 3=neutral, 4=uncertain, 5=highly uncertain.

1567

Life Stage	Flows (Peak, Base)	Water Temperature	Refuge/Nursery Habitat	Migration, Habitat Connectivity	Substrate	Forage Base
Spawning Adult	Spring snowmelt runoff leading to a peak spring flow that stimulates spawning; flows sufficient to clean/maintain spawning substrate; peak flow followed by declining summer base flows	>16°C (and increasing) in late spring to late summer	River reaches with a gradient sufficient to provide spawning riffles with cobble clean of accumulated sediments that are located upstream from low-velocity nursery habitats	Habitat connectivity sufficient to provide passage between home range and spawning bars in spring/summer	Cobble and gravel recently cleaned by spring flows	Abundant soft-rayed fishes to support energetic needs
Importance	1.7	1.3	2.0	1.8	1.3	1.5
Certainty	2.4	1.9	2.2	3.0	2.3	2.2
Egg	Riffle habitats with sufficient flow to oxygenate interstitial spaces in substrate; peak flow followed by higher base flows to facilitate hatching success	18-26°C in late spring to late summer	River reaches with a gradient sufficient to provide spawning riffles with cobble clean of accumulated sediments that are located upstream from low-velocity nursery habitats	NA	Cobble and gravel recently cleaned by spring flows	NA
Importance	1.0	1.5	2.2	NA	1.3	NA
Certainty	1.8	2.2	1.8	NA	2.0	NA
Embryo/Larvae (substrate)	Riffle habitats with sufficient flow to oxygenate interstitial spaces in substrate; moderate peak and base flows	18-26°C to support embryo incubation, hatch, and larval survival	River reaches with a gradient sufficient to provide spawning riffles with cobble clean of accumulated sediments that are located upstream	NA	Cobble and gravel recently cleaned by spring flows	Sufficient energy reserves available via yolk sac to sustain protolarval and flexion mesolarval stages, as long as they are upstream from

Importance Certainty	1.1 2.0	1.6 2.0	from low-velocity nursery habitats 2.3 2.0	NA NA	1.3 2.0	suitable nursery habitats 1.5 1.5
Larvae (dispersed)	Low to zero velocity backwater habitats; summer flows sufficient to provide complete inundation of nursery habitats and transport larvae to them	18-30+°C to support larval growth; lack of 'cold shock' conditions (e.g., <5°C difference between tributary and mainstem)	Low elevation gradients with low- velocity channel habitats	Long stretches of habitat that allow for larval entrainment in backwater areas as they somewhat passively drift downstream	Low- velocity areas with high levels of shoreline complexity	Abundant diatoms, algae, and first instars of aquatic invertebrates such as chironomids
Importance Certainty	1.3 2.0	1.6 2.3	2.3 2.4	2.1 2.4	2.3 2.9	1.1 1.6
Juvenile (age-0)	Low-velocity areas with steady, moderate flows that inundate nursery areas but do not overtop them; peak flows to maintain/create these habitats and maintain channel complexity; peak flows to reduce reproduction by non-native predators; steady mainstem flows	18-30°C to support juvenile growth and maximize energy reservoirs prior to winter	Low elevation gradients with low- velocity channel habitats	Mosaic of connected or closely located nursery habitats to allow for dispersion and use of multiple backwater habitats	Low- velocity areas with high levels of shoreline complexity	Larger aquatic invertebrates and algae available, including cladocerans, copepods, and chironomid larvae
Importance Certainty	1.9 2.3	2.0 1.9	2.3 2.6	2.0 2.0	2.1 2.3	1.3 1.7
Juvenile (age 1-2)	Low-velocity areas with steady, moderate flows that inundate nursery areas but do not overtop them; peak flows to reduce reproduction by non-native predators	18-30°C to support juvenile growth	Low elevation gradients with low- velocity channel habitats	Mosaic of connected or closely located nursery habitats to allow for dispersion and use of multiple backwater habitats	Low- velocity areas with high levels of shoreline complexity	Large aquatic invertebrates and small soft-rayed fishes to support mixed diet
Importance Certainty	2.6 2.4	1.9 2.4	2.3 2.7	2.3 2.5	2.4 2.7	1.4 1.6
Sub-adult and Adult	Variable and high peak spring flows to redistribute substrate, flush fine sediment, and prevent vegetation encroachment and channel narrowing	18-30°C to support sub- adult and adult growth, or Annual Thermal Units >47-50	Access to deep pools, runs, and eddies for foraging and refuge	Sufficient habitat available to forage and spawn that supports an adult population	Cobble, gravel, and sandy substrate	Abundant soft- rayed fishes to support fully piscivorous adult diet
Importance Certainty	1.9 2.4	1.7 2.6	1.7 2.3	1.6 2.1	2.4 2.9	1.1 1.4

Figure Legends

1569
1570 **Figure 1.** Map of the Colorado River Basin in western North America, delineated into six
1571 geographic subbasins where Colorado Pikeminnow populations currently (in red) or historically (in
1572 blue) existed. The three remaining populations of Colorado Pikeminnow (shaded blue) are located
1573 in the Green, Colorado, and San Juan river subbasins. The species is extirpated from the Lower
1574 Colorado River Mainstem and Gila River subbasins (shaded gray). Colorado Pikeminnow are also
1575 extirpated from the focal area of this study, the Colorado River in Grand Canyon (shaded yellow).
1576 Inset map shows Colorado River basin states in western North America (Wyoming, Colorado, Utah,
1577 New Mexico, Arizona, Nevada, California).

1578 **Figure 2.** Map of the Colorado River in Grand Canyon and its major tributaries, with boundaries for
1579 Glen Canyon National Recreation Area, Grand Canyon National Park, Lake Mead National
1580 Recreation Area, and Havasupai, Hualapai, and Navajo Nation lands. Inset map shows study area
1581 states of Arizona, Utah, and Nevada (red box).

1582 **Figure 3.** Colorado River flows in the pre-dam period (1950-1955), during construction (1956-
1583 1963), and post-dam (1963-2020) downstream from Glen Canyon Dam, Arizona. This includes the
1584 pre- Environmental Impact Statement (EIS) time period (1963-1995), the time period governed by
1585 the 1996 EIS and Record of Decision (ROD; 1995-2015), and current operations under the Long-
1586 Term Experimental and Management Plan EIS and ROD (2017-present).

1587 **Figure 4.** a) Daily representative thermal profiles at depth in Lake Powell, Arizona in July during
1588 low, intermediate, and high storage conditions leading to warm, cool, and cold releases. The
1589 horizontal gray line at 75 meters deep is penstock depth relative to the dam crest (i.e., “0”). b) Pre-
1590 and post-dam release temperatures from Lake Powell in July. In the pre-dam era (1949-1956), mean
1591 July river temperatures were consistently warm ($25.3 \pm 1.6^\circ\text{C}$ SD), whereas in the post-dam era
1592 (1965-2015), mean July release temperatures were highly influenced by reservoir storage.

1593 **Figure 5.** Predicted mean monthly water temperatures from Glen Canyon Dam to Pearce Ferry,
1594 Arizona, from May-October using conditions present from 2010-2020 and the water temperature
1595 model developed by Dibble et al. (2021). Colors are associated with water temperatures from 8-
1596 20°C, with temperatures nearest the dam cool in May and warming to peak temperatures in October,
1597 whereas water temperatures reach their peak in mid-summer and decline in fall.

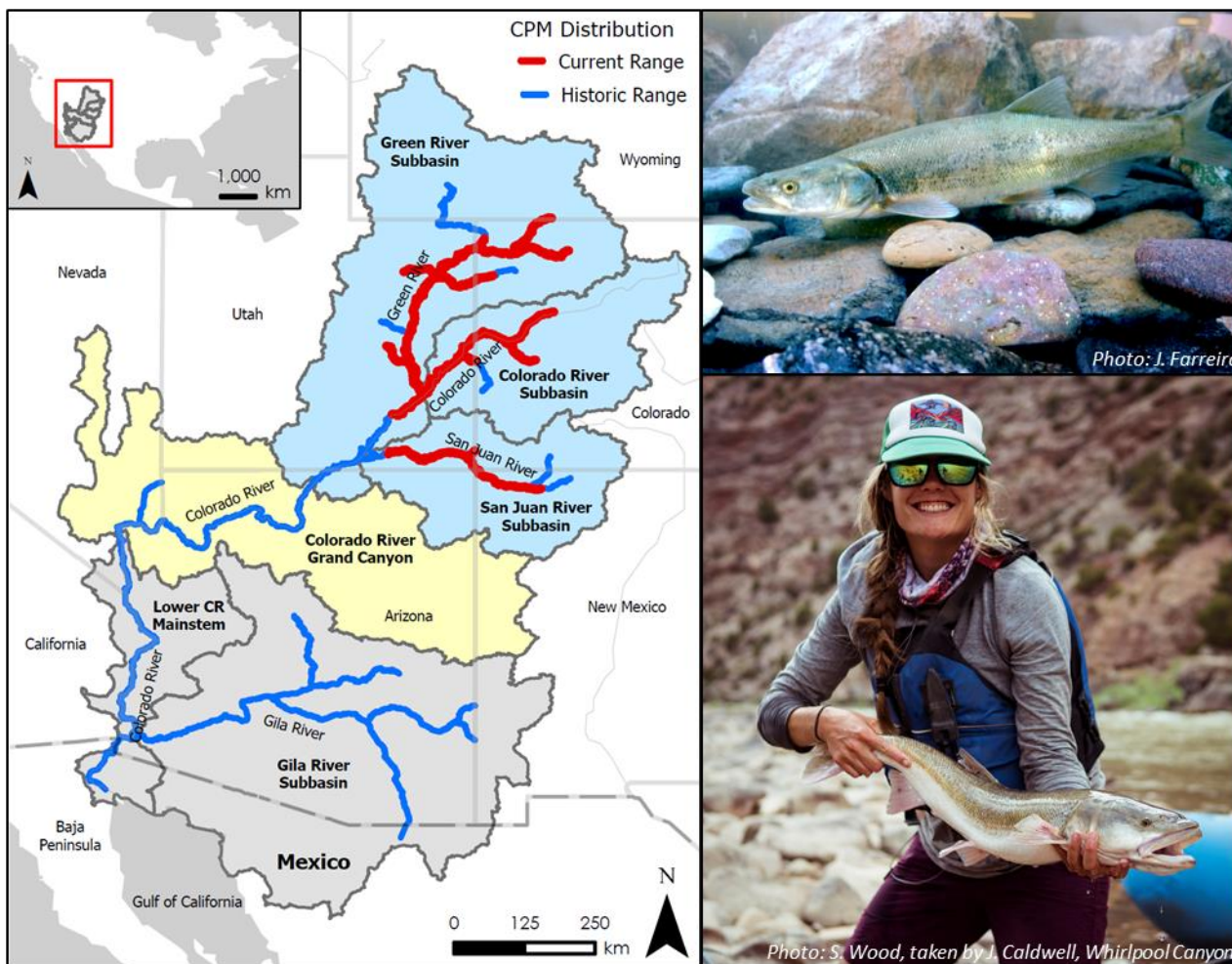
1598 **Figure 6.** Calculated number of Annual Thermal Units (ATU) in the Colorado River from 1988-
1599 2020 for four locations in western Grand Canyon, Arizona (National Canyon, rkm 293; at Diamond
1600 Creek, rkm 388; at Spencer Creek, rkm 422; modeled at Pearce Ferry, rkm 476). The horizontal line
1601 at 50 ATU represents the estimated threshold above which the thermal regime is suitable for adult
1602 growth. Eastern Grand Canyon locations are shown in Figure S1.

1603 **Figure 7.** Maximum daily water temperature and flow in Havasu and Kanab creeks (tributaries to
1604 the Colorado River in Grand Canyon, Arizona) from 1990-2021. Warm water temperatures provide
1605 a thermal regime conducive to Colorado Pikeminnow growth, while flash floods are stochastic
1606 events that bring new sources of gravel and cobble to the river as potential spawning habitat.

1607



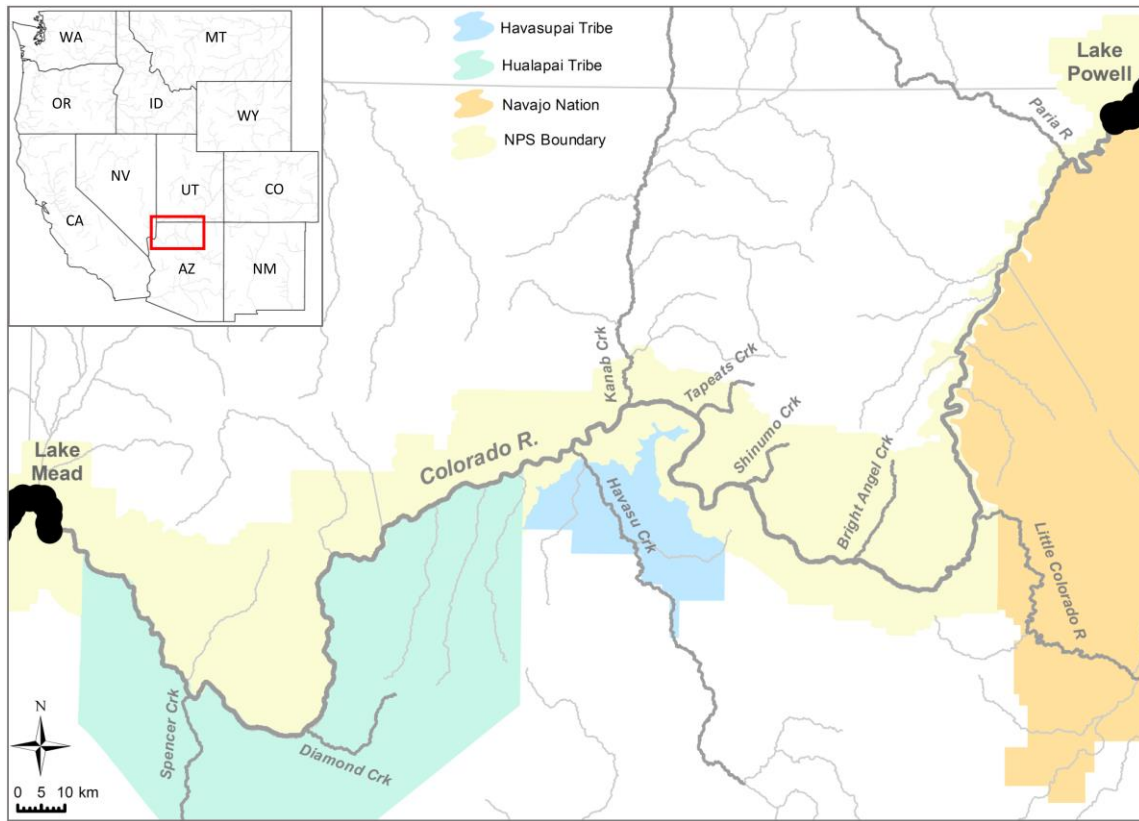
1 **Figure 1**



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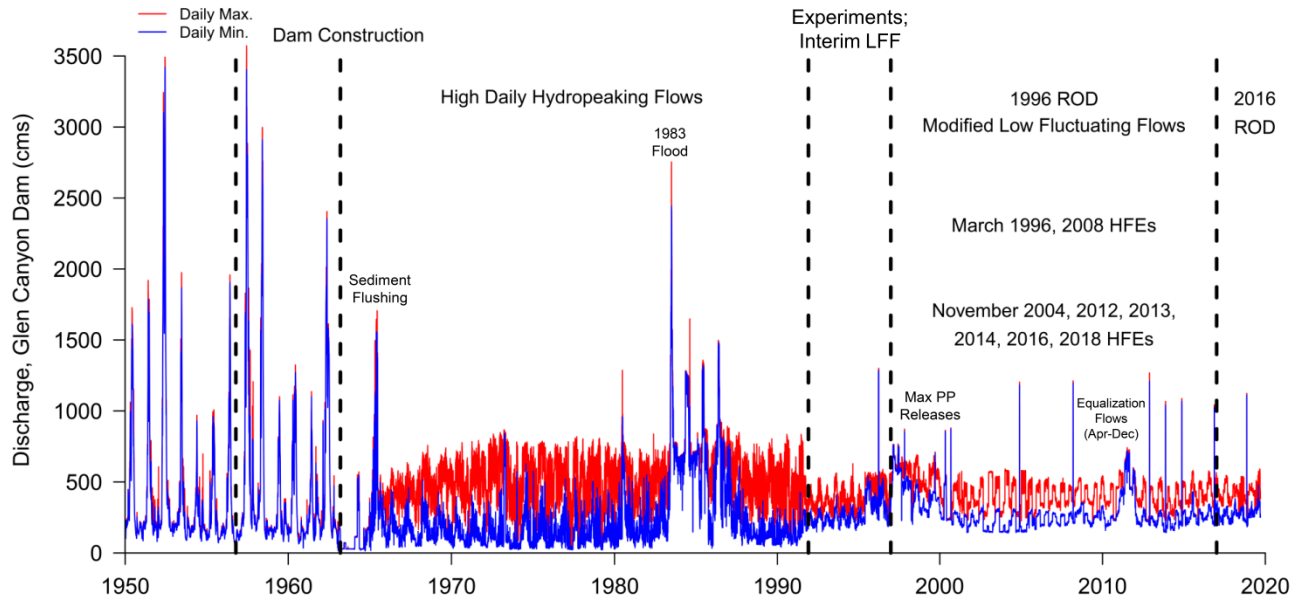
4 **Figure 2**



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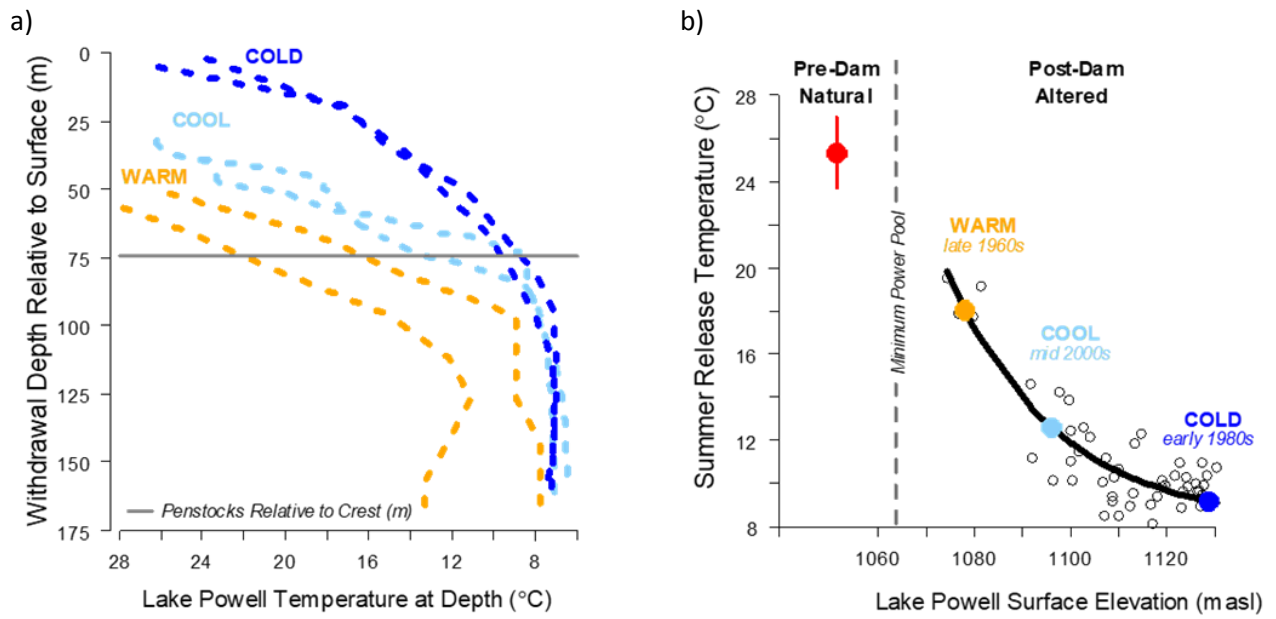
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7 **Figure 3**



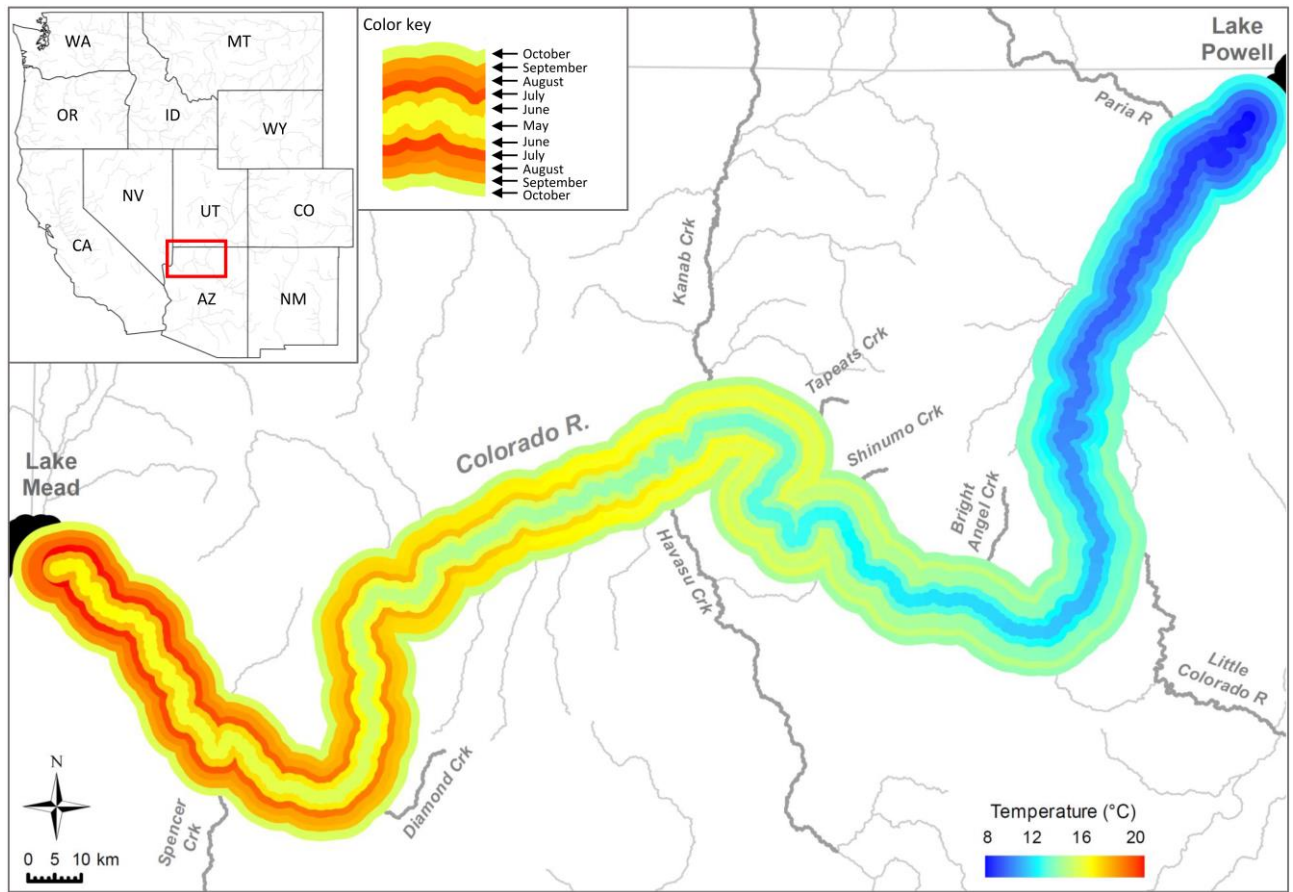
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10 **Figure 4**



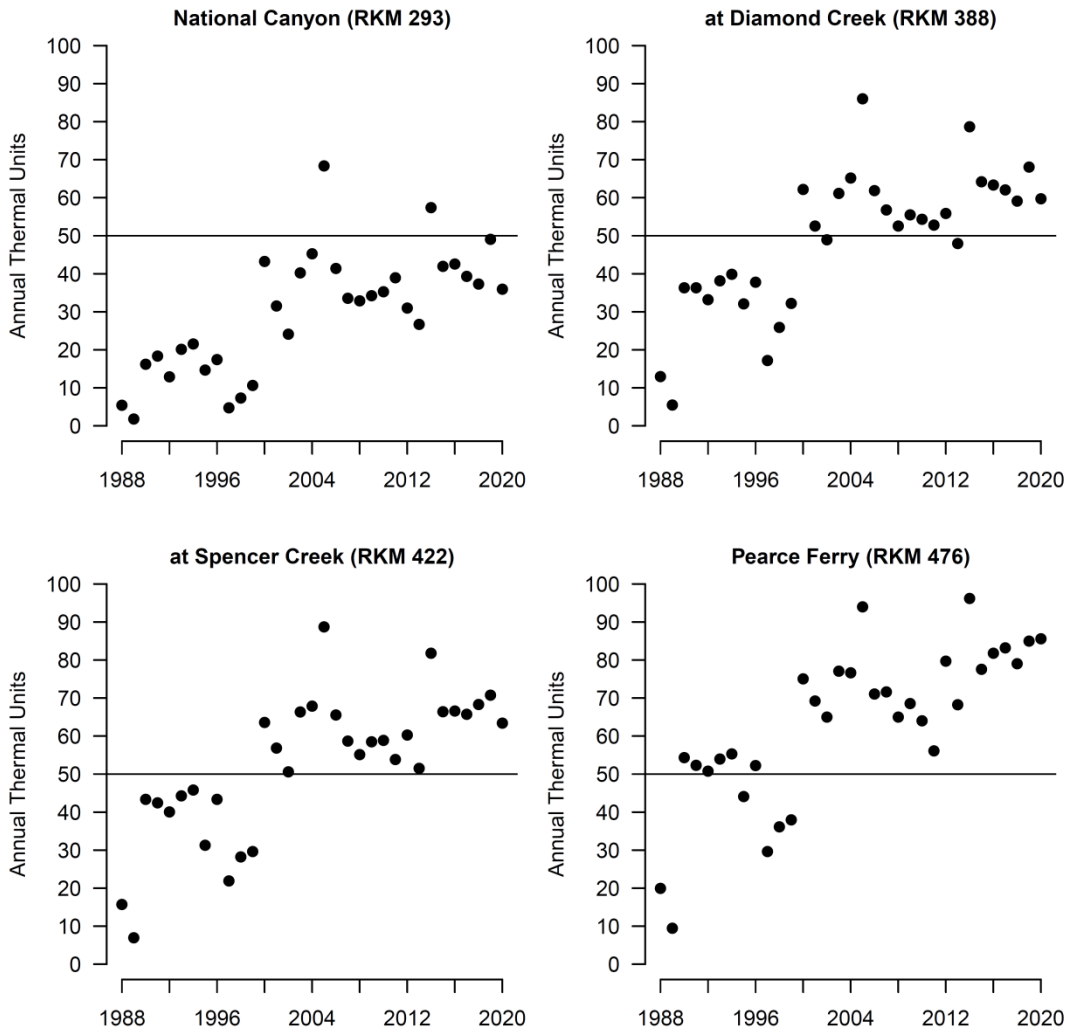
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13 **Figure 5**
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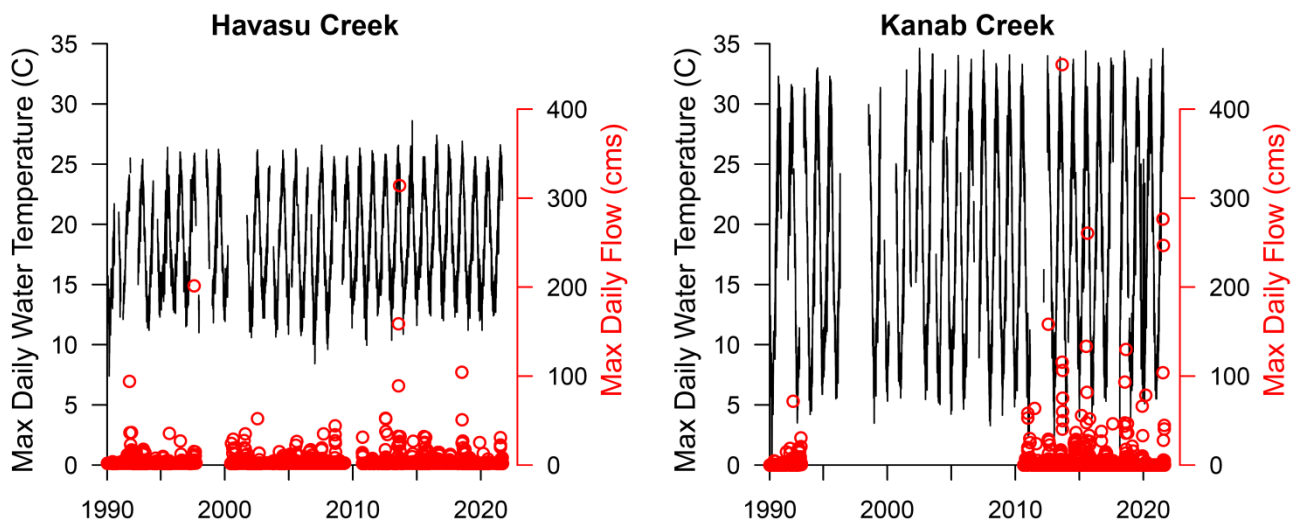
17 **Figure 6**
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20 **Figure 7**

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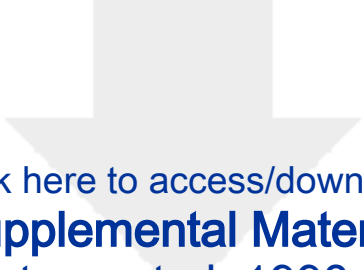
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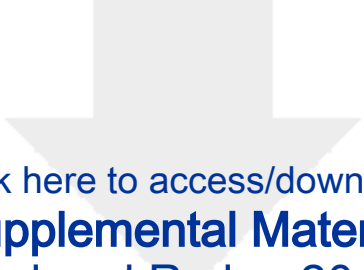




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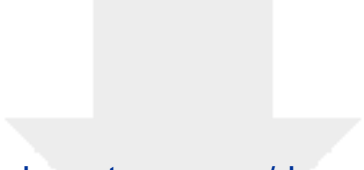


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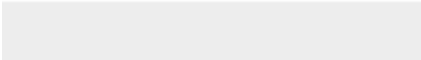




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